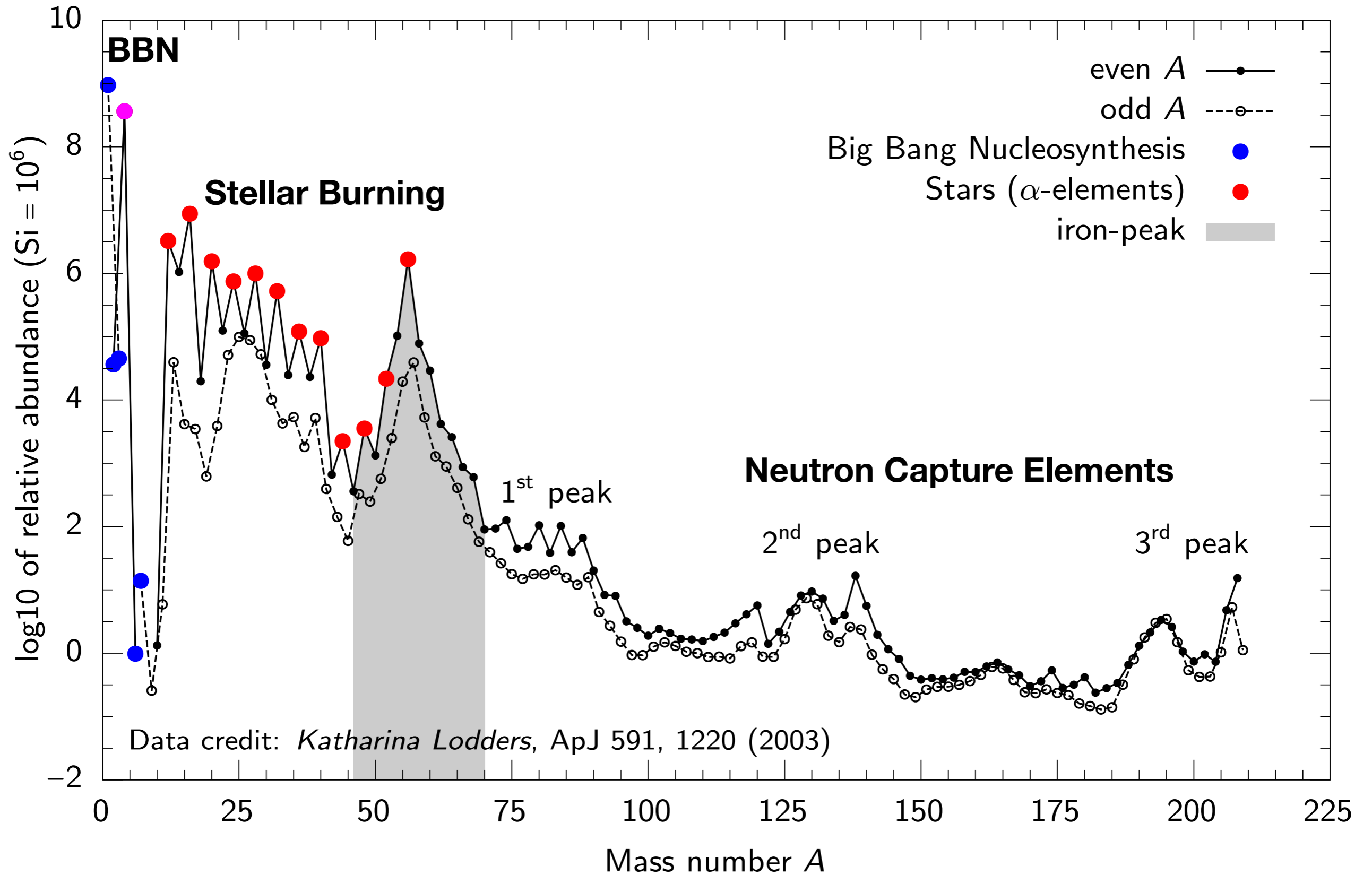


# Astrophysics Theory

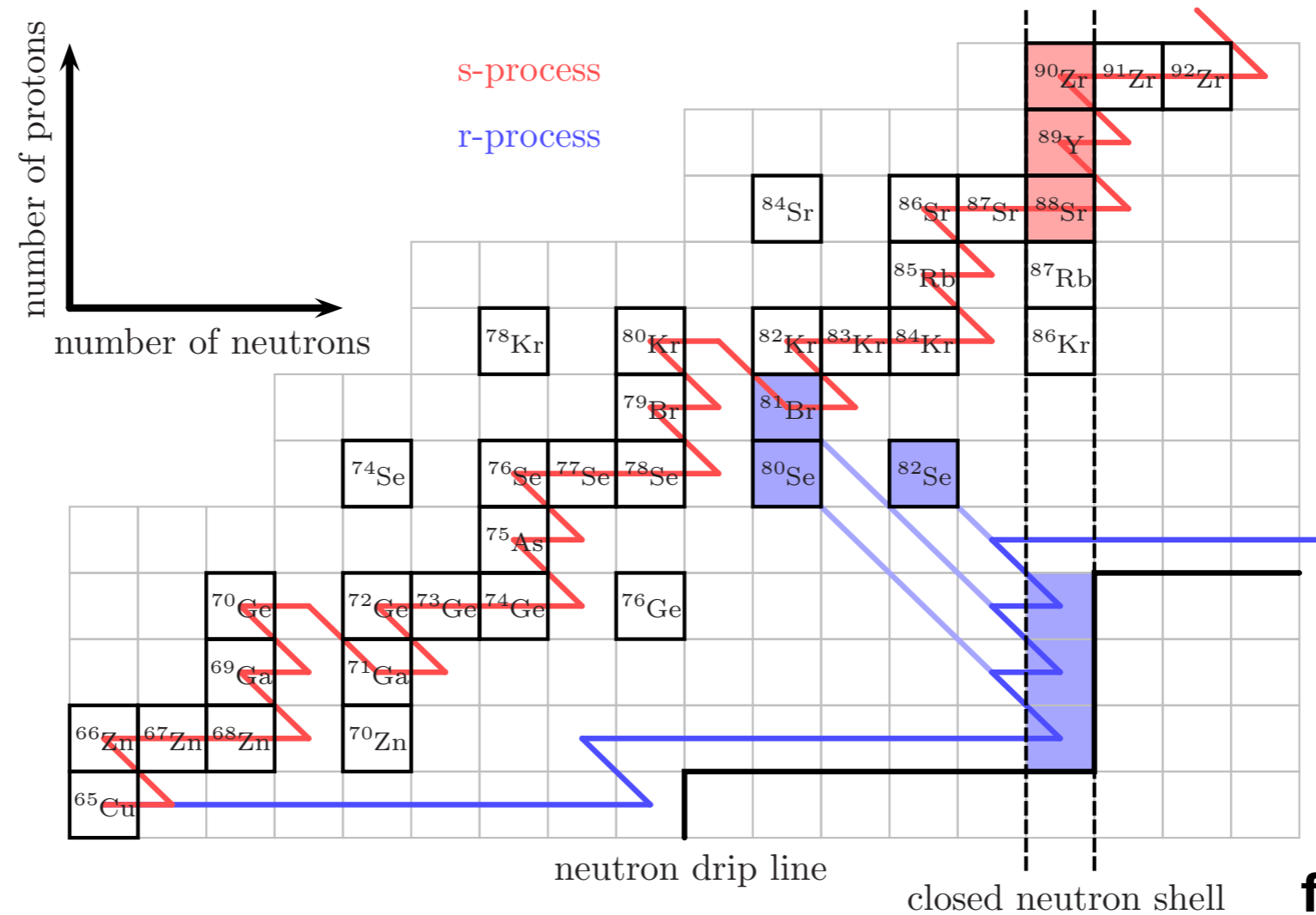
Luke Roberts, NSCL

~~Astrophysics Theory~~  
The r-Process and  
Astrophysical Sources of  
the r-Process

Luke Roberts, NSCL

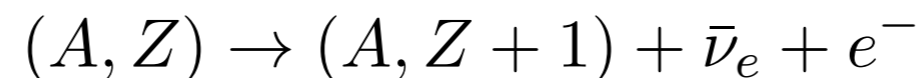
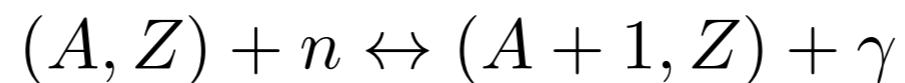


# Capturing Neutrons

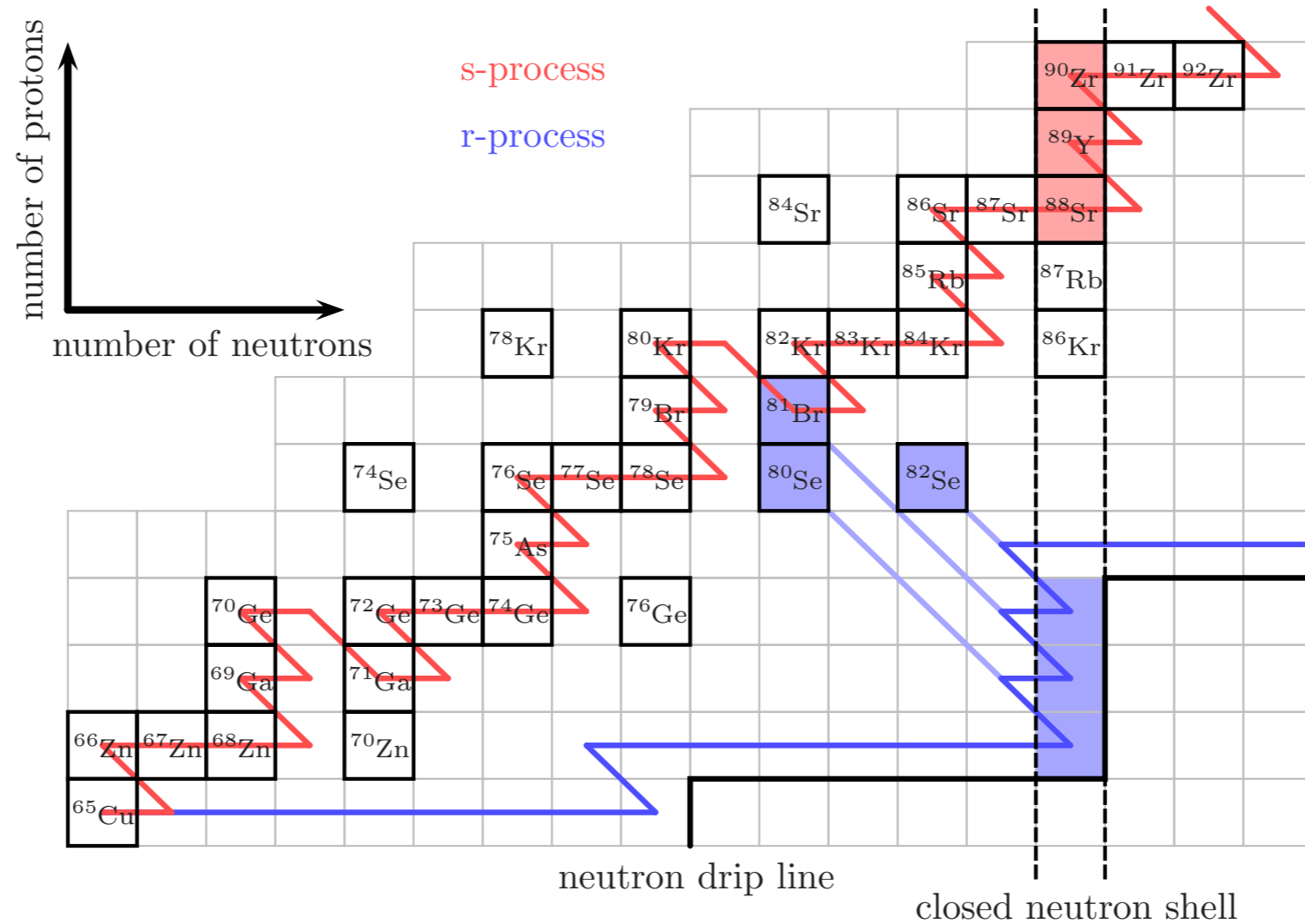


from J. Lippuner

Produce elements beyond iron by series of neutron captures followed by beta-decays:



# Capturing Neutrons



from J. Lippuner

Two (maybe three) ways to do this:

**r-process**

$$\tau_n \ll \tau_{\beta^-}$$

or

**s-process**

$$\tau_n \gg \tau_{\beta^-}$$

(there is also evidence for a possible i-process)

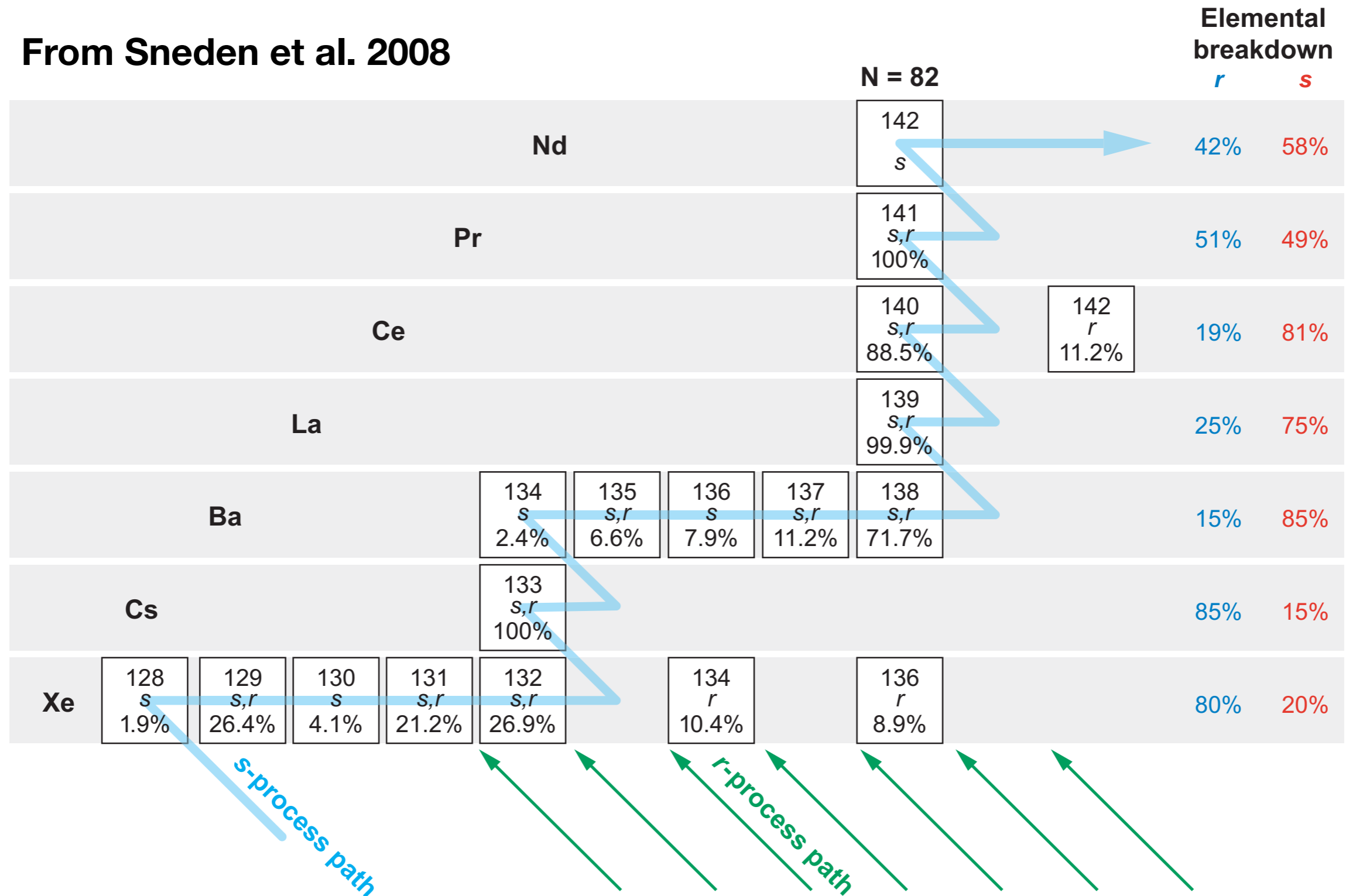
# Capturing Neutrons

	s-process	r-process
mechanism	neutron capture, $\beta^-$ decay	<b>No Coulomb barrier!</b>
$\tau_n$	$10^2 - 10^5$ yr	$\ll \tau_{\beta^-}$
$\tau_{\beta^-}$	$\ll \tau_n$	0.01 - 10 s
site	AGB Stars inside massive stars	supernovae? NS-NS/BH mergers?
neutron source	$^{13}\text{C} + ^4\text{He} \rightarrow ^{16}\text{O} + \text{n}$ $^{22}\text{Ne} + ^4\text{He} \rightarrow ^{25}\text{Mg} + \text{n}$	
path	valley of stability	neutron drip line
peaks*	$A = 88, 138, 208$ strontium, barium, lead	$A = 80, 130, 194$ selenium, xenon, platinum

\* due to closed neutron shells at  $N = 50, 82, 126$

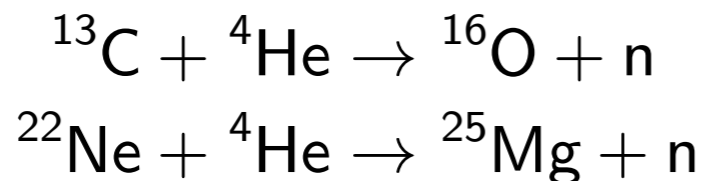
# Separating s and r

From Sneden et al. 2008

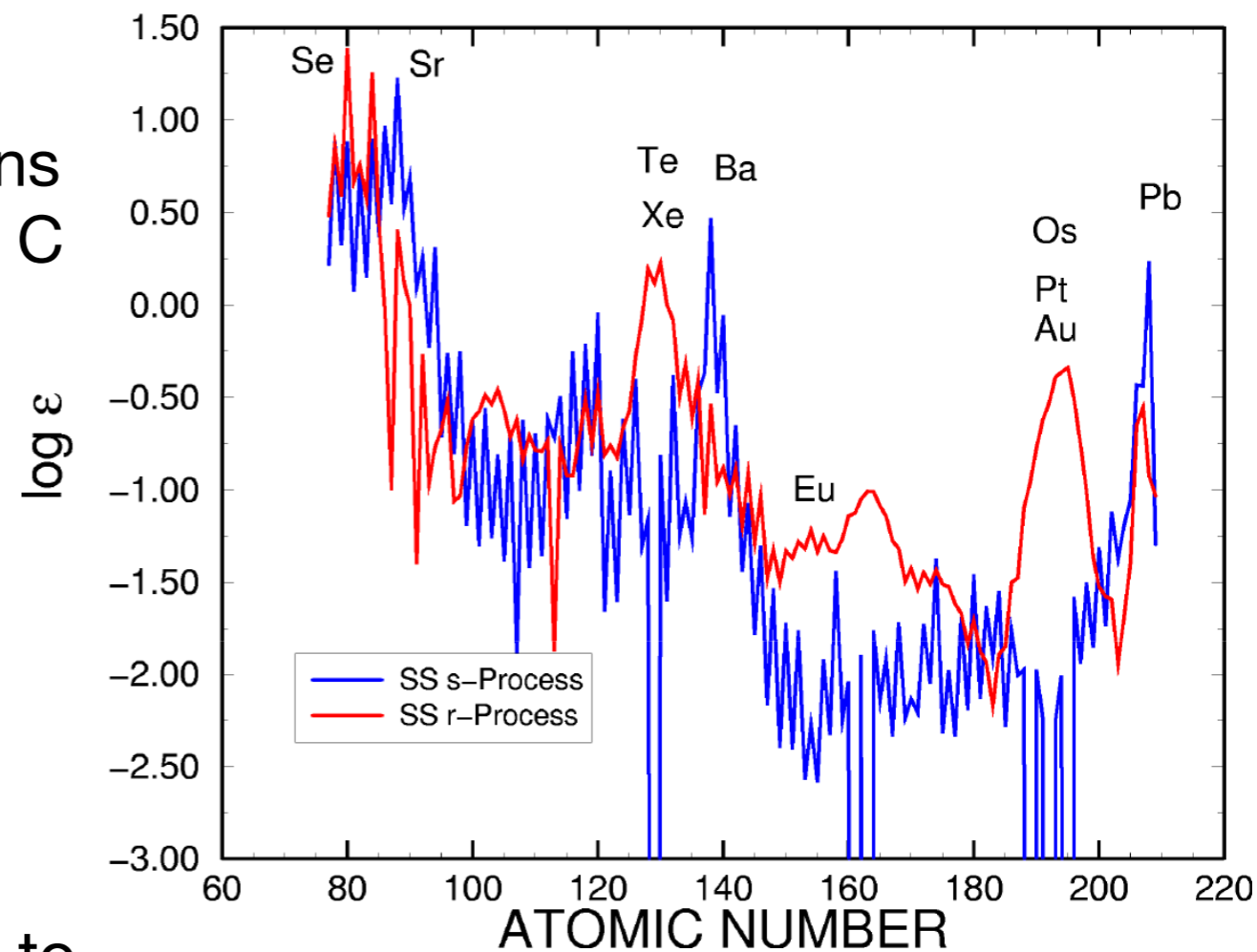


# The s-process

- Secondary process, requires pre-existing seed nuclei to capture neutrons on
- Neutrons slowly produced by reactions in both AGB stars and during He and C burning in massive stars



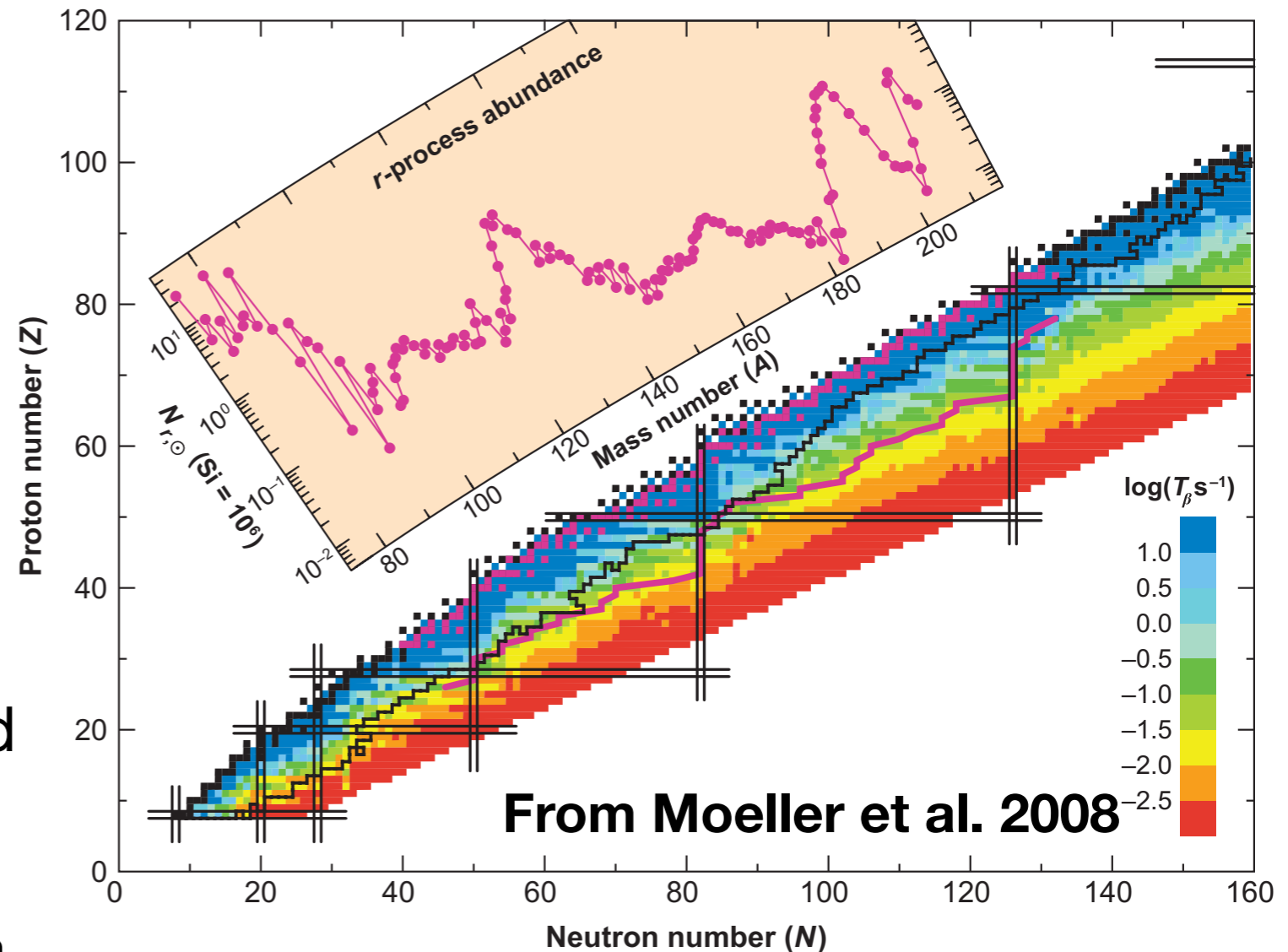
- Flow is mainly sensitive to neutron capture cross sections
- With accurate cross sections, can determine the s-process contribution to the solar abundances



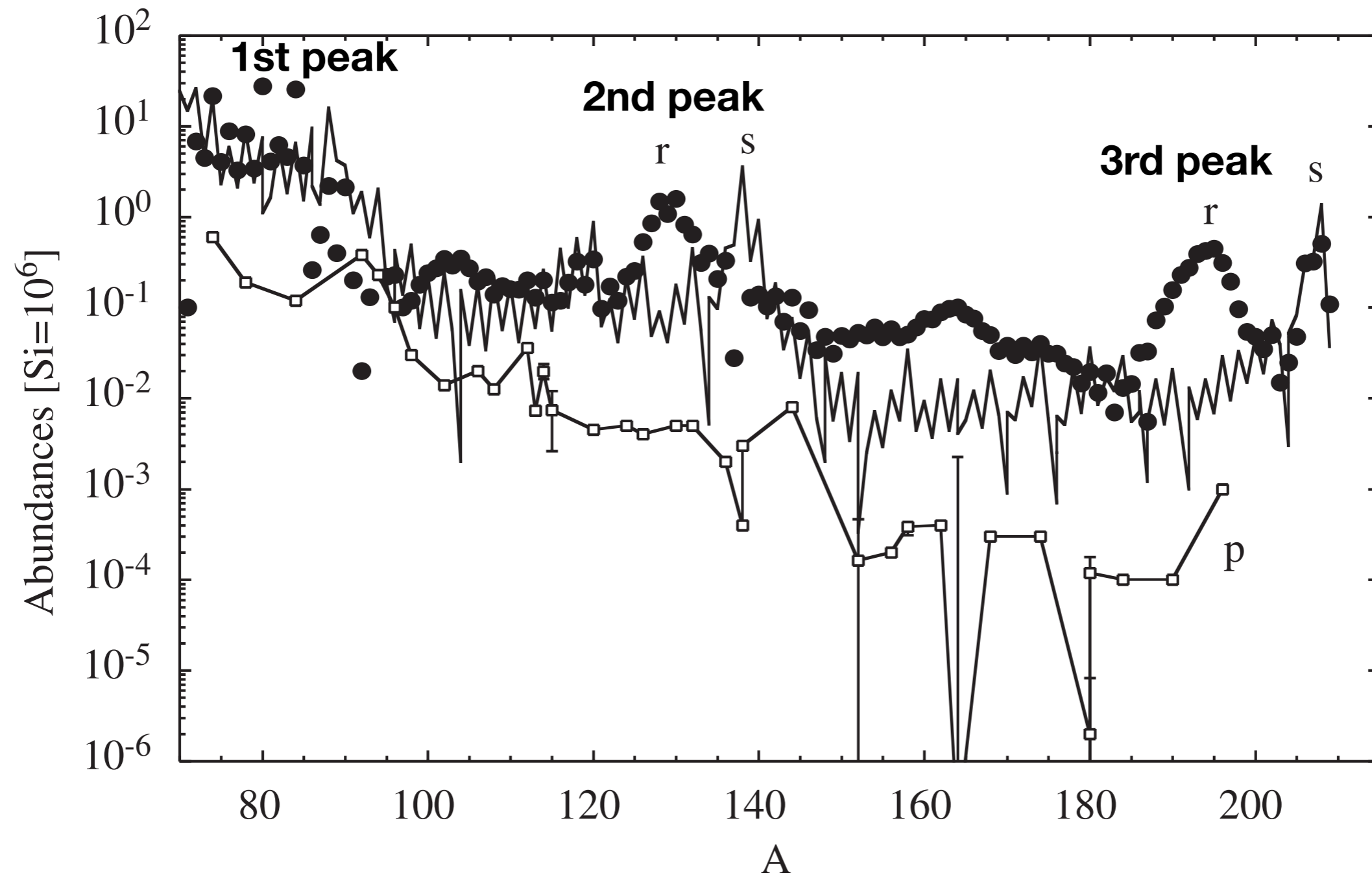


# Solar r-process residuals

- Material builds up at neutron closed shells during neutron capture flow
- When neutrons are exhausted, material stays at the same mass number and decays back to stability
- Mass at which flow intersects closed shells is where peaks end up in the r-process distribution
- Different positions of peaks from s-process since intersection points are different, lower mass for r-process

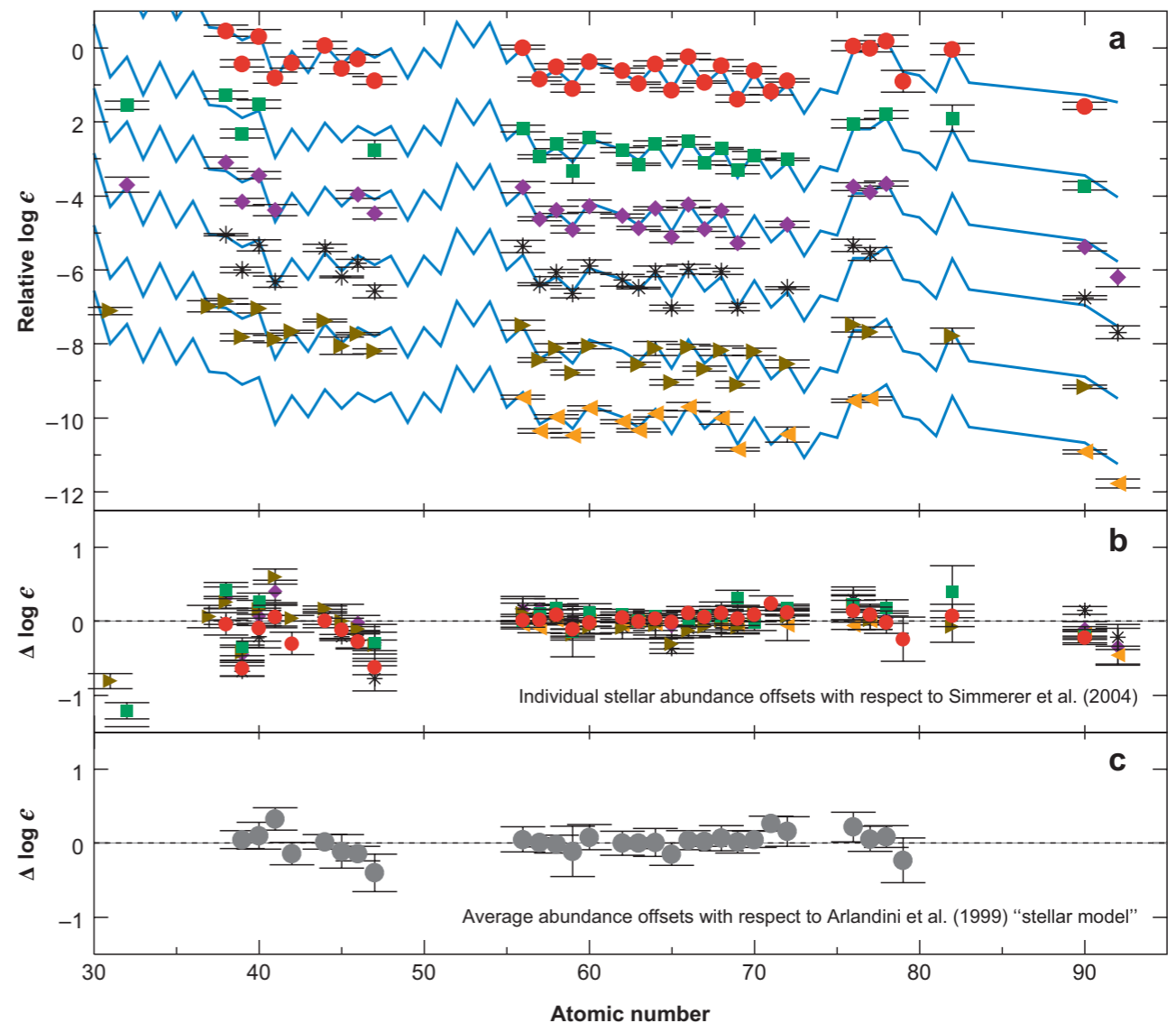


# Solar r-process residuals



# r-process in the galaxy

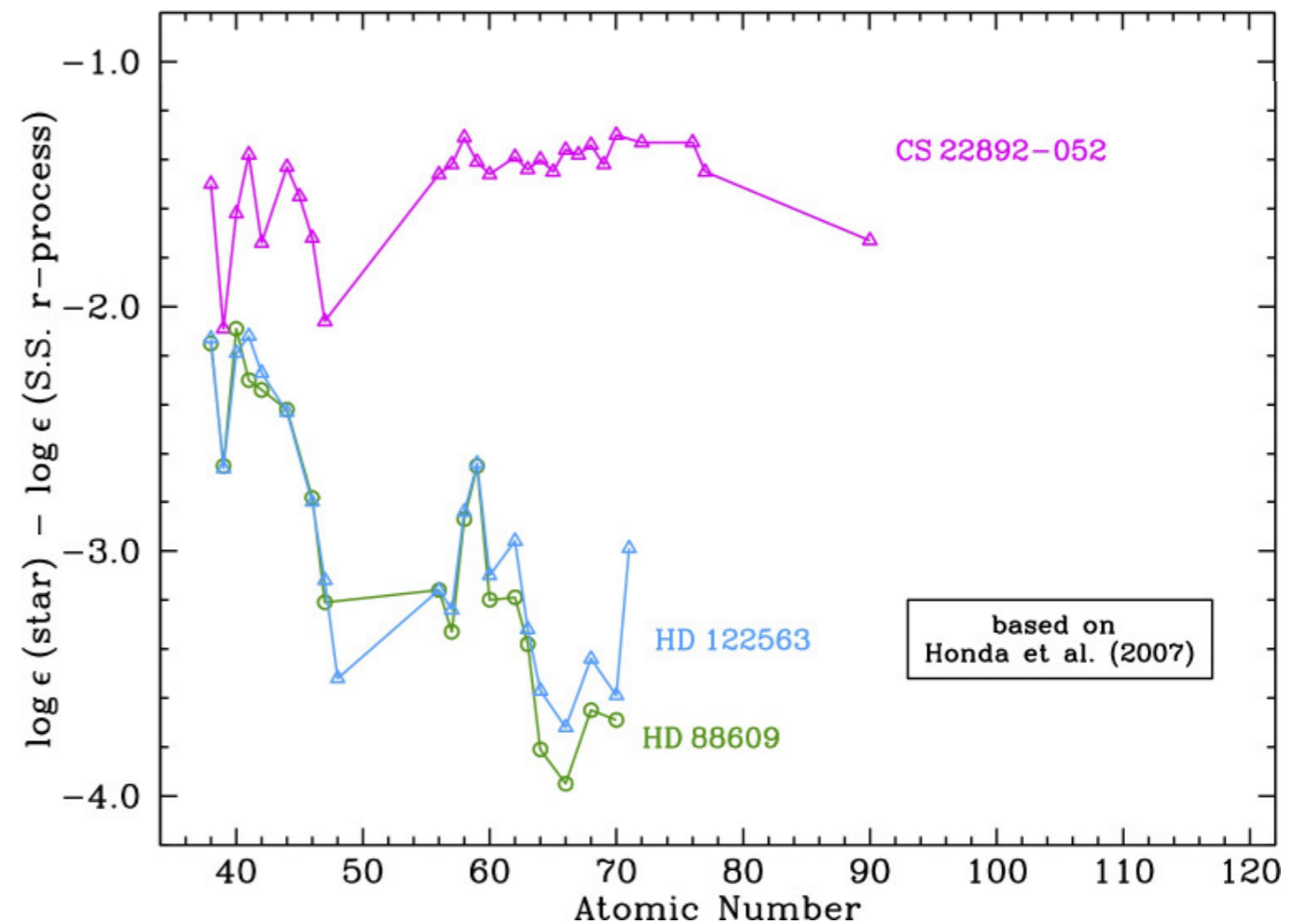
- Can also find low metallicity halo stars with significant enhancement of neutron capture nuclei
- In many stars, the pattern of second and third peak nuclei is very similar to the pattern of r-process residuals, suggesting they only have r-process enrichment
- There is more variation in the first peak r-process abundances among low metallicity halo stars



- CS 22892-052: Sneden et al. (2003)
- HD 115444: Westin et al. (2000)
- BD+17°324817: Cowan et al. (2002)
- \* CS 31082-001: Hill et al. (2002)
- HD 221170: Ivans et al. (2006)
- HE 1523-0901: Frebel et al. (2007)

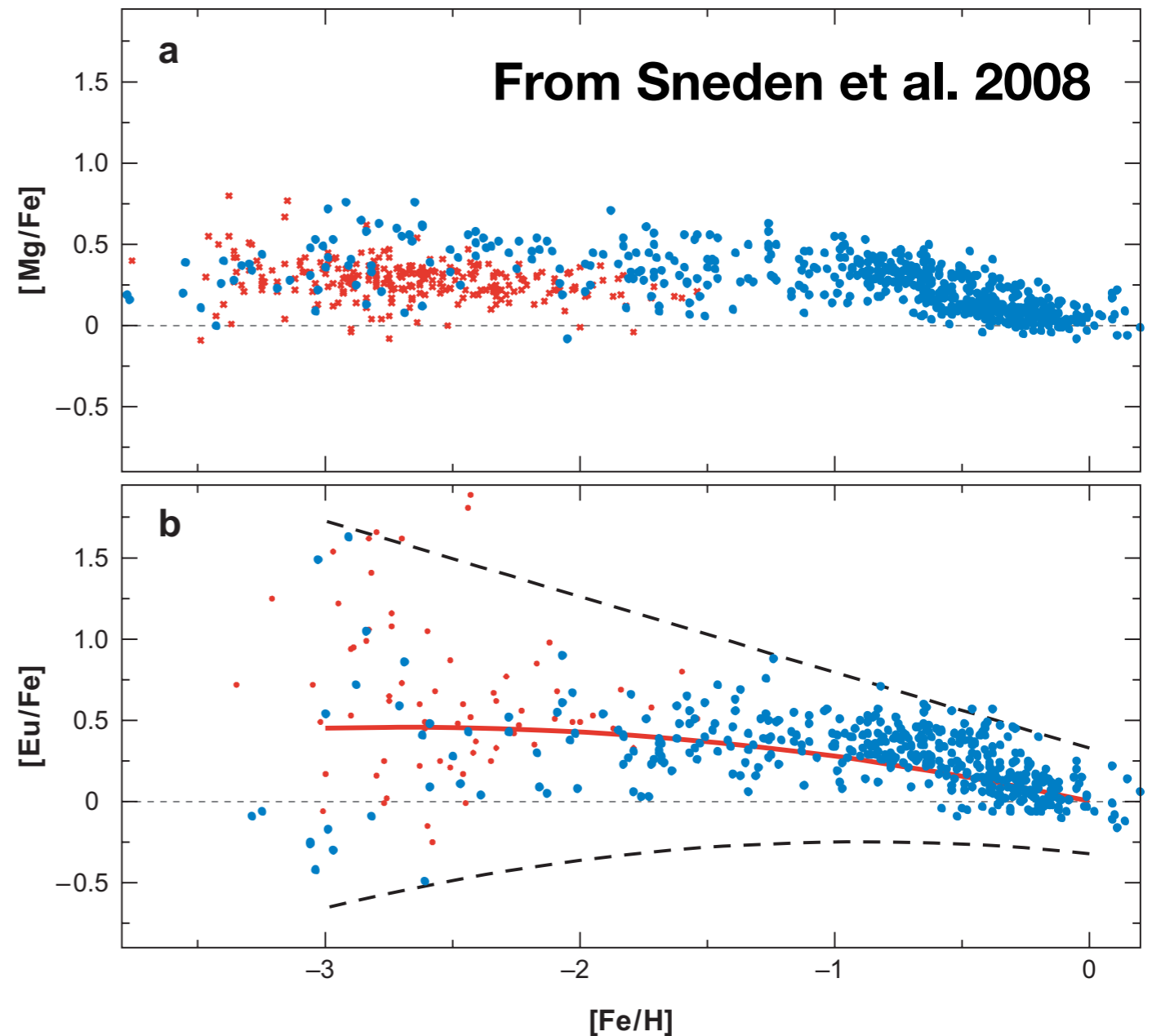
# r-process in the galaxy

- Also can find some low-metallicity halo stars that are enriched in neutron capture elements that have an abundance pattern that is significantly different than the solar r-process abundance pattern
- Suggests there might need to be two r-process sites:
  - main r-process (up to third peak)
  - weak r-process (dominated by Sr, Y, Zr)

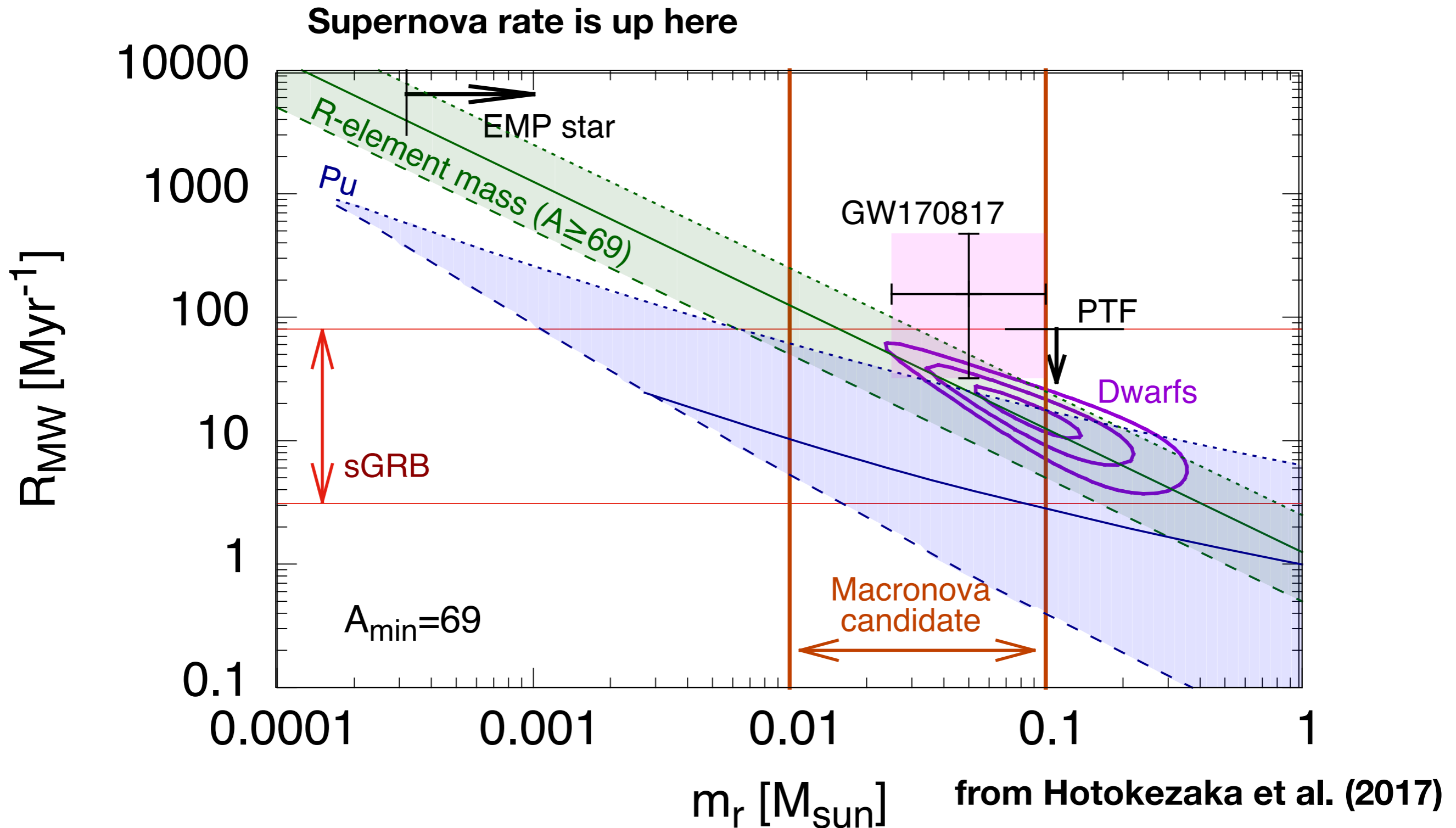


# r-process in the galaxy

- r-process enhancement present at very low metallicity, similar to the beginning of enrichment of the ISM by supernovae
- Suggests that r-process must be a primary process
- Maybe an argument in favor of being associated with supernovae



# Galactic r-process budget



**r-process conditions**

# Some Nomenclature

**Abundance:**  $Y_i = \frac{n_i}{n_b}$

Number of nuclei of species  $i$  per baryon in a fluid

**Mass fraction:**  $X_i = \frac{A_i n_i}{n_b}$

Fraction of baryons locked in nuclei of species  $i$

$$\Rightarrow \sum_i X_i = 1$$

**Electron fraction:**  $Y_e = \frac{n_{e^-} - n_{e^+}}{n_b}$

Net number of electrons per baryon

Charge Neutrality  $\Rightarrow Y_e = \sum_i Z_i Y_i$



# Initial Conditions for the r-process

- In most r-process scenarios, material starts at high density and high temperature
- Therefore, nuclear statistical equilibrium (NSE) holds, where forward and reverse strong reactions are balanced

$$\mu_{(A,Z)} = (A - Z)\mu_n + Z\mu_p$$

Nuclei can be treated as Boltzmann particles:

$$\mu_{(A,Z)} = m_{(A,Z)} + T \ln \left[ \frac{n_b Y_{(A,Z)}}{G_{(A,Z)}(T)} \left( \frac{2\pi\hbar^2 c^2}{m_{(A,Z)} T} \right)^{3/2} \right]$$

# Initial Conditions for the r-process

$$\mu_{(A,Z)} = (A - Z)\mu_n + Z\mu_p$$

$$\Rightarrow Y_{(A,Z)} \approx \frac{G_{(A,Z)} A^{3/2}}{2^A} \left( \frac{n_b}{n_Q} \right)^{A-1} Y_n^N Y_p^Z \exp[BE_{(A,Z)}/T]$$

where

$$BE_{(A,Z)} = (A - Z)m_n + Zm_p - m_{(A,Z)} \quad \text{and} \quad n_Q = \left( \frac{m_n T}{2\pi\hbar^2 c^2} \right)^{3/2}$$

**Baryon number conservation and charge neutrality give:**

$$Y_e = \sum_i Z_i Y_i \quad 1 = \sum_i A_i Y_i$$

**So that**

$$Y_{(A,Z)} = Y_{(A,Z)}(n_b, Y_e, T)$$

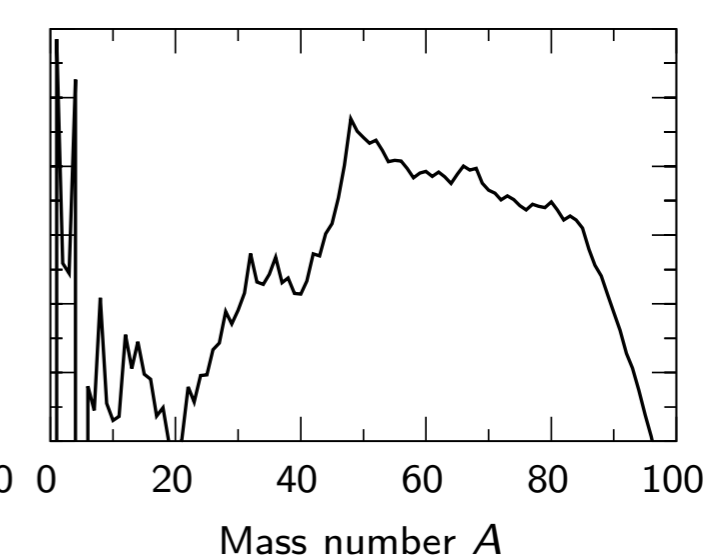
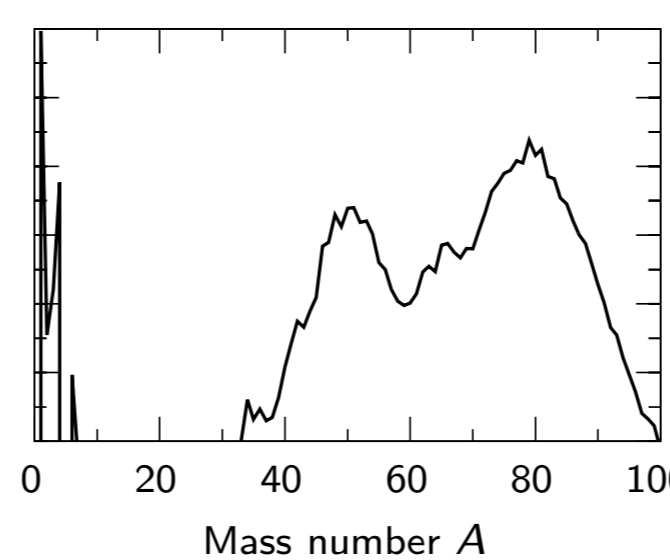
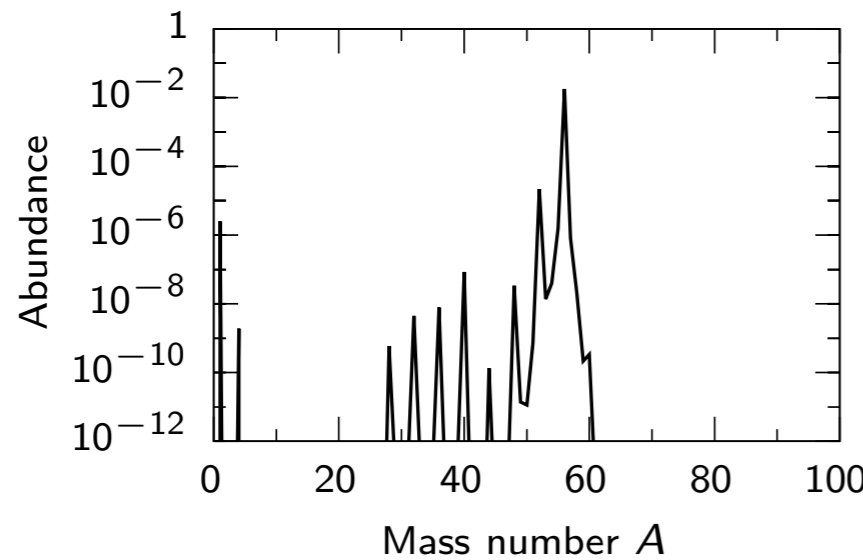
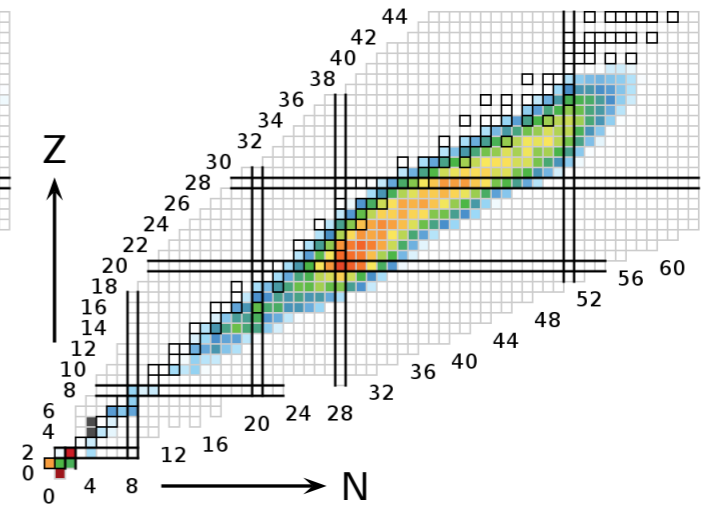
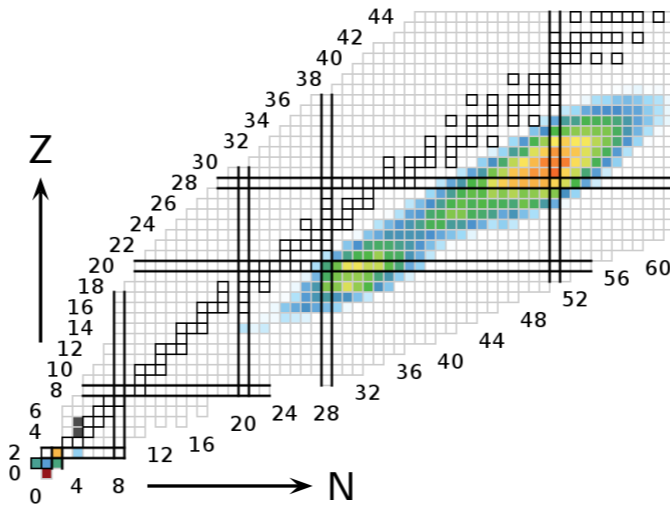
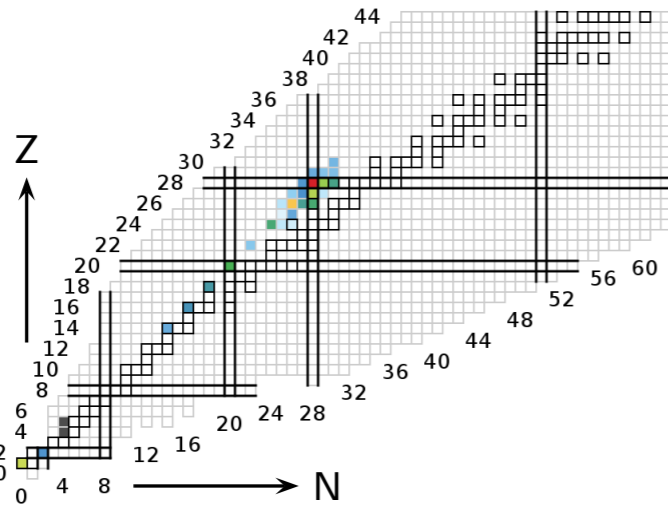
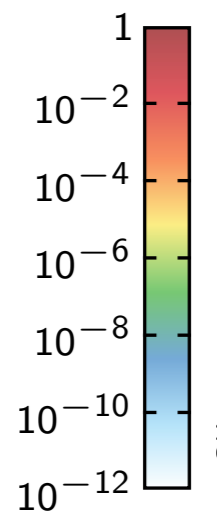
# NSE

$T = 2.5$  GK  
 $\rho = 1.0 \times 10^7$  g cm $^{-3}$   
 $Y_e = 0.50$

$T = 7.0$  GK  
 $\rho = 2.2 \times 10^8$  g cm $^{-3}$   
 $Y_e = 0.051$

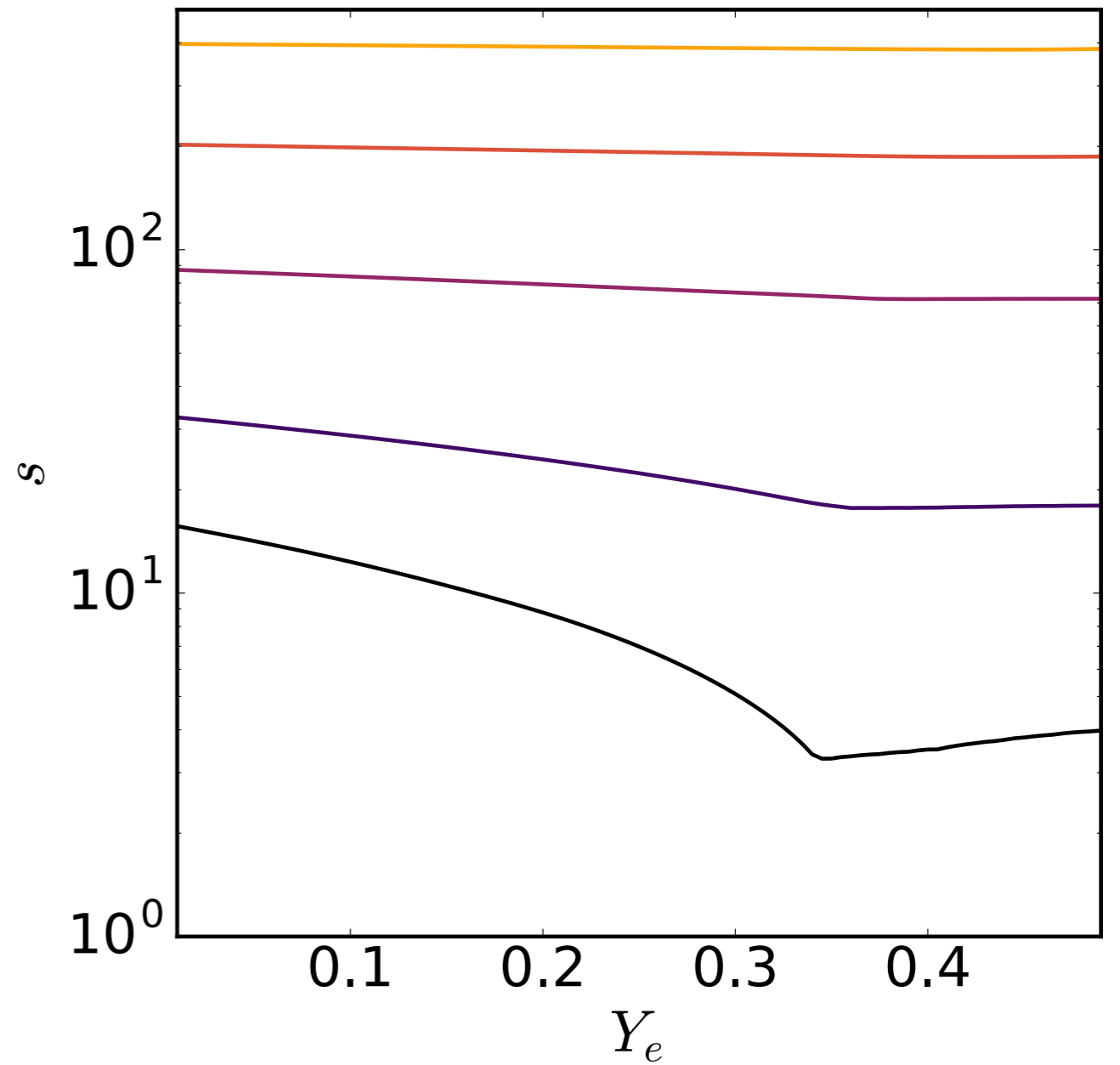
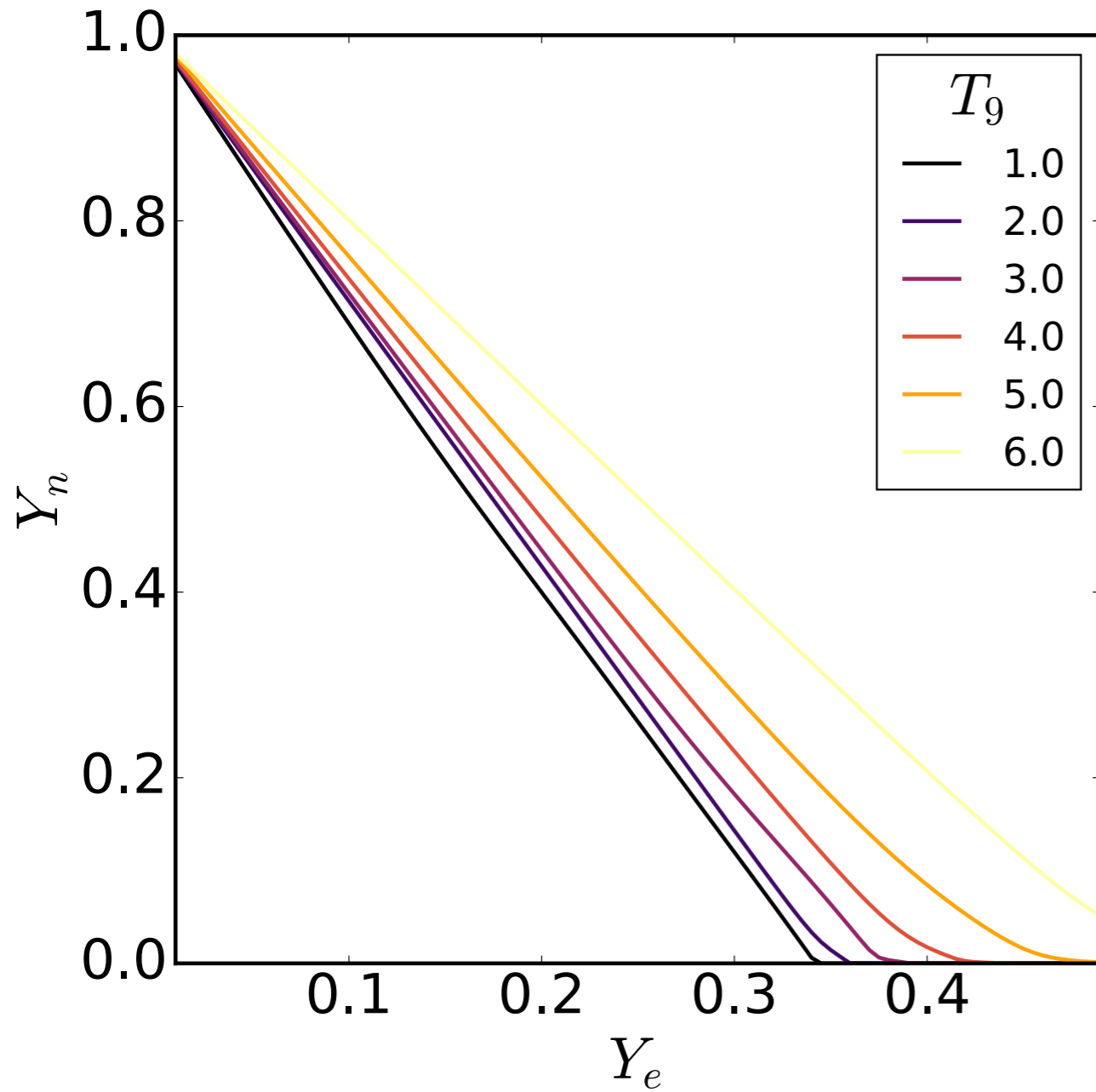
$T = 6.9$  GK  
 $\rho = 7.8 \times 10^6$  g cm $^{-3}$   
 $Y_e = 0.22$

Abundance



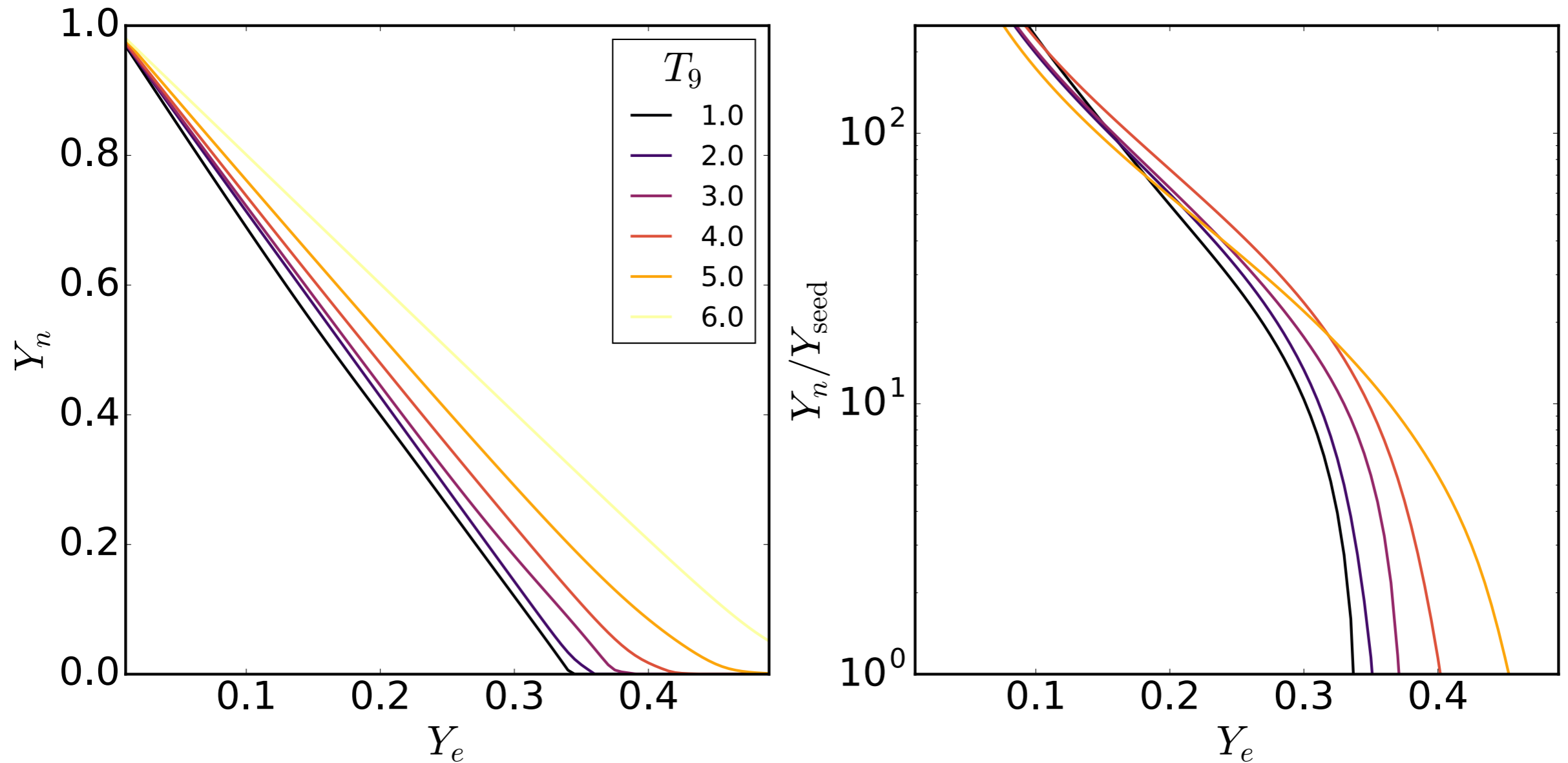
from J. Lippuner

# NSE Neutron Fractions



**Lower  $Y_e$ , higher  $s$  result in larger numbers of free neutrons in NSE**

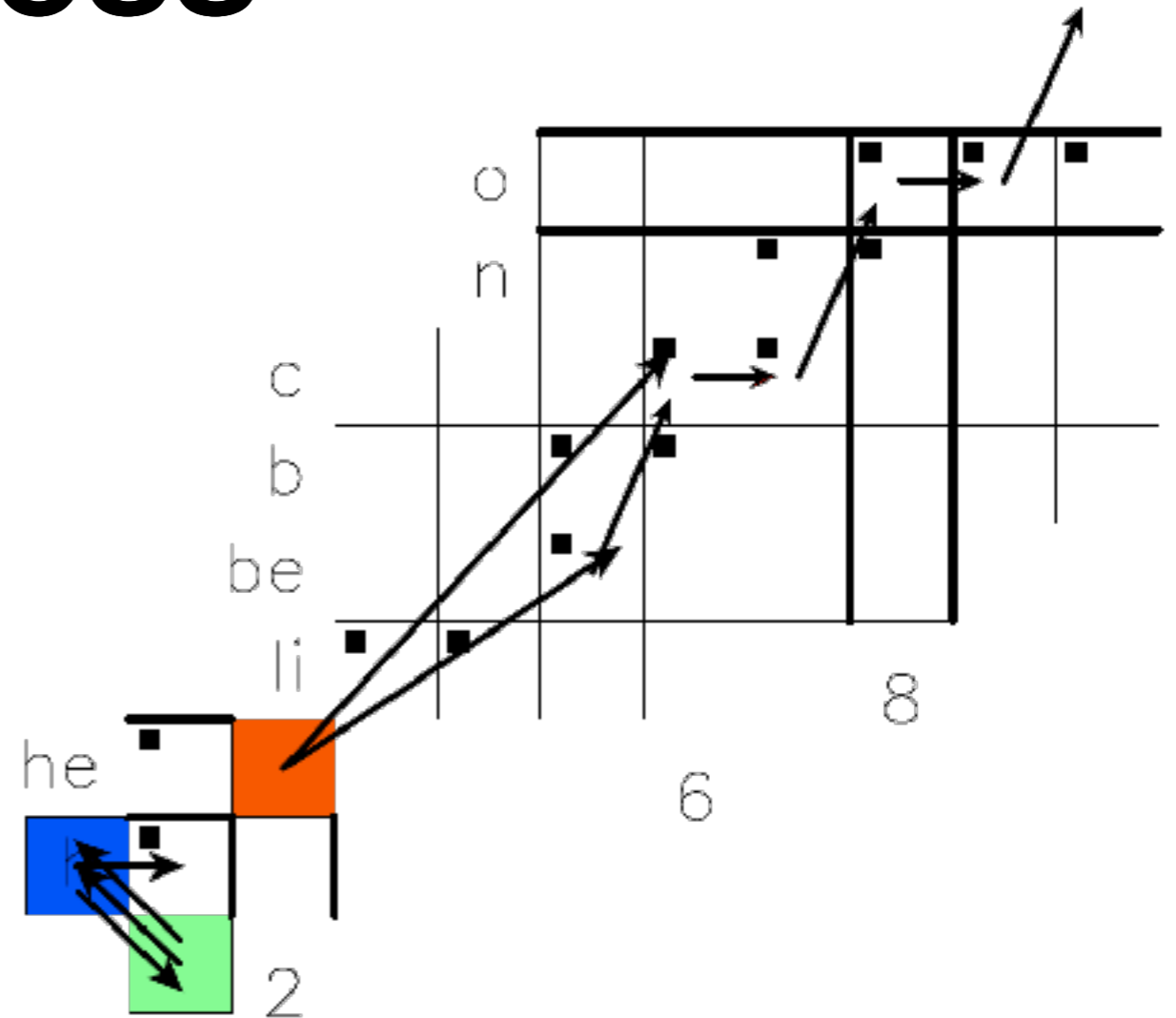
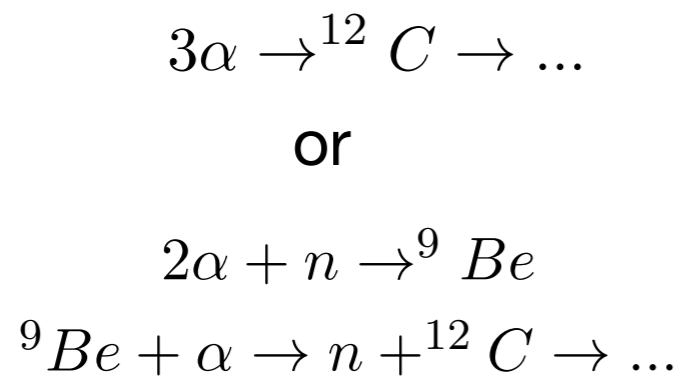
# Neutron-to-Seed Ratio



**The initial neutron-to-seed ratio is a useful metric for whether or not a complete r-process will occur**

# Initial Conditions for the r-process

- In high-entropy material, seeds may not form during NSE
- Instead left with alpha particles and neutrons
- Make seed nuclei via



$$\frac{dY_{\text{seed}}}{dt} \propto \rho^3 Y_{\alpha}^3 Y_n \rightarrow \frac{N_n}{N_{\text{seed}}} \propto \frac{s^3}{Y_c^3 \tau_d}$$

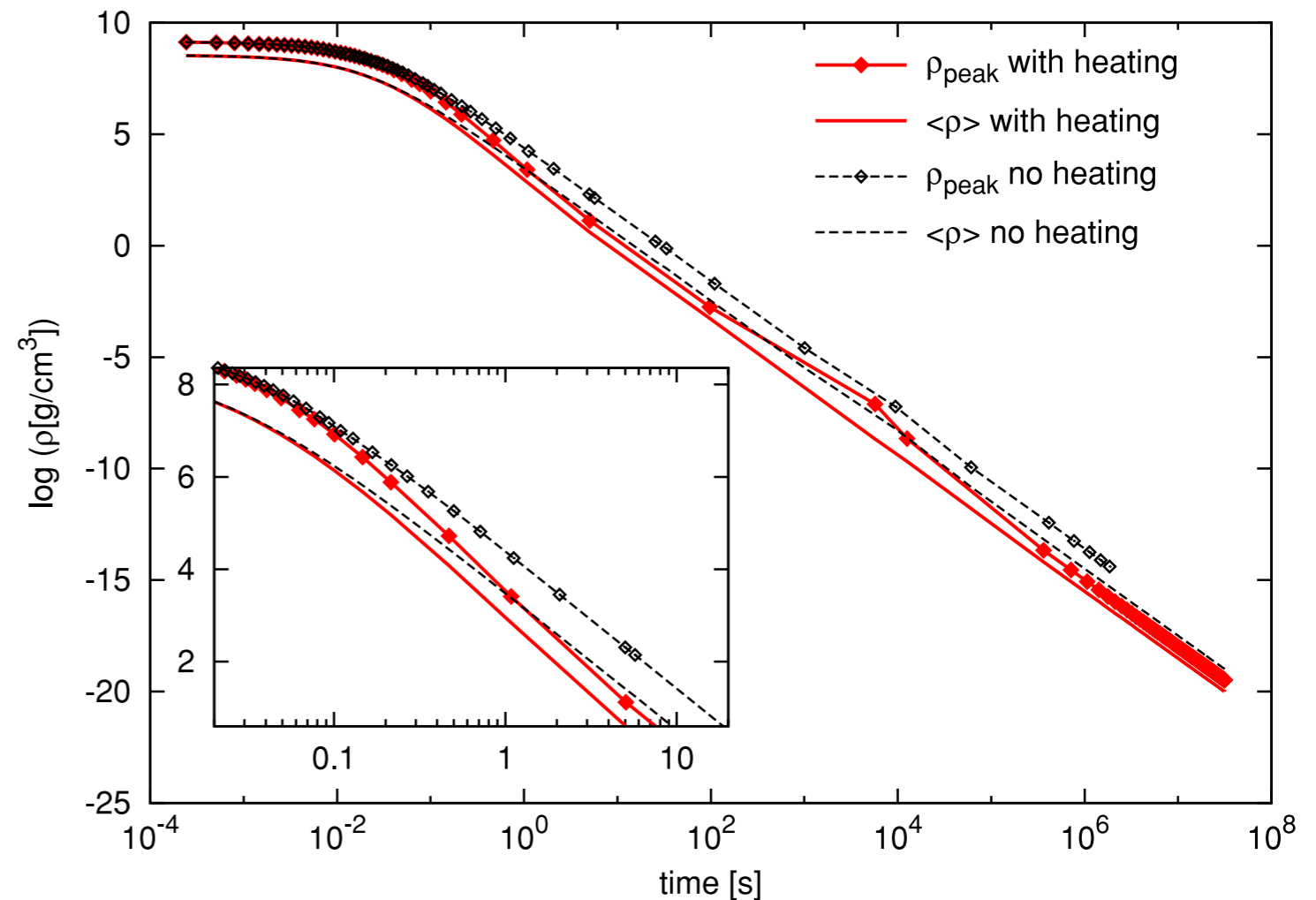
**Nucleosynthesis is much more sensitive to the dynamics, but can make r-process nuclei for much higher  $Y_e$**

# Calculating the r-process

- Time dependent thermodynamic conditions from simulations (if post-processing)
- By the time r-process starts, homologous expansion has set in with:

$$v \propto r$$

$$\Rightarrow \rho = \rho_0 \left( \frac{t}{t_0} \right)^{-3}$$



from Rosswog et al. (2014)

# Calculating the r-process

- Large, coupled system of stiff ODEs

- Need to employ implicit methods

- Input nuclear data:

$$\dot{Y}_{(A,Z)} = n_b \langle \sigma v \rangle_{n+(A-1,Z)} Y_n Y_{(A-1,Z)} - \lambda_{\gamma(A,Z)} Y_{(A,Z)} - n_b \langle \sigma v \rangle_{n+(A,Z)} Y_n Y_{(A,Z)} + \lambda_{\gamma(A+1,Z)} Y_{(A+1,Z)} + \lambda_{\beta^-(A,Z-1)} Y_{(A,Z-1)} - \lambda_{\beta^-(A,Z)} Y_{(A,Z)}$$

+ fission + electron capture + ...

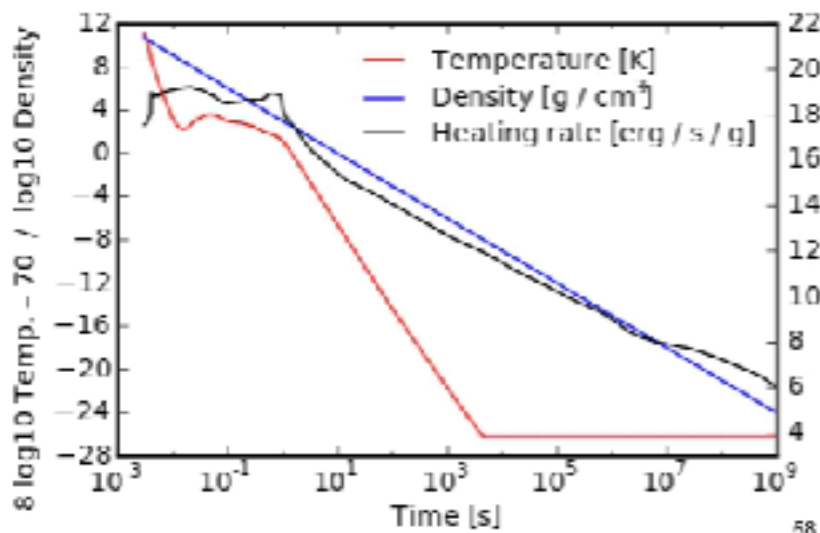
- masses
- partition functions
- beta-decay rates
- neutron capture rates
- fission rates
- ...

- Initial composition

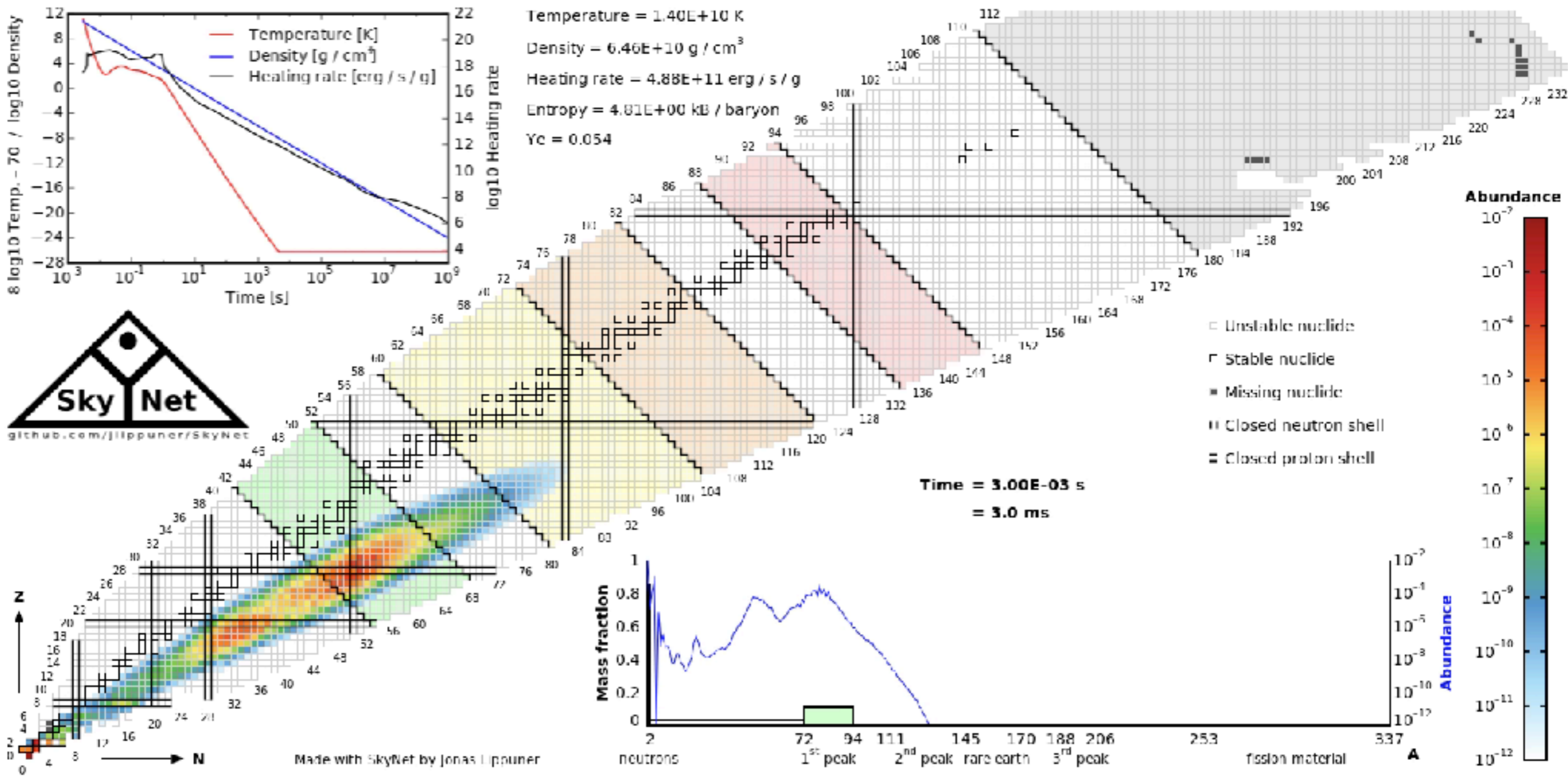
Velocity averaged cross-section:

$$\langle \sigma_{\alpha} v_{\text{rel}} \rangle = \int_{[1]} \frac{f_1}{n_1} \int_{[2]} \frac{f_2}{n_2} v_{\text{rel}} \sigma_{\alpha}$$





Temperature =  $1.40\text{E}+10$  K  
 Density =  $6.46\text{E}+10$  g / cm<sup>3</sup>  
 Heating rate =  $4.88\text{E}+11$  erg / s / g  
 Entropy =  $4.81\text{E}+00$  kB / baryon  
 Yc = 0.054



Made with SkyNet by Jonas Lippuner

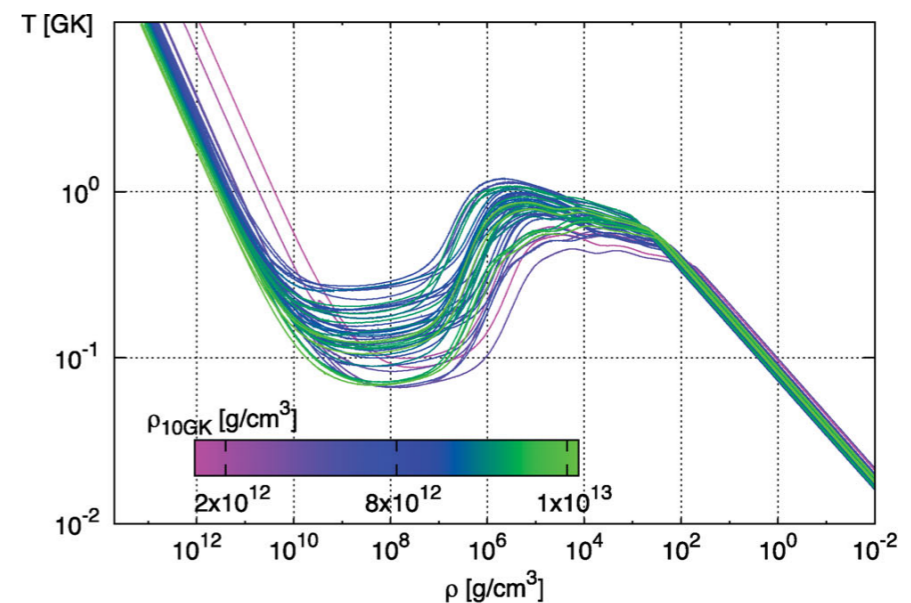
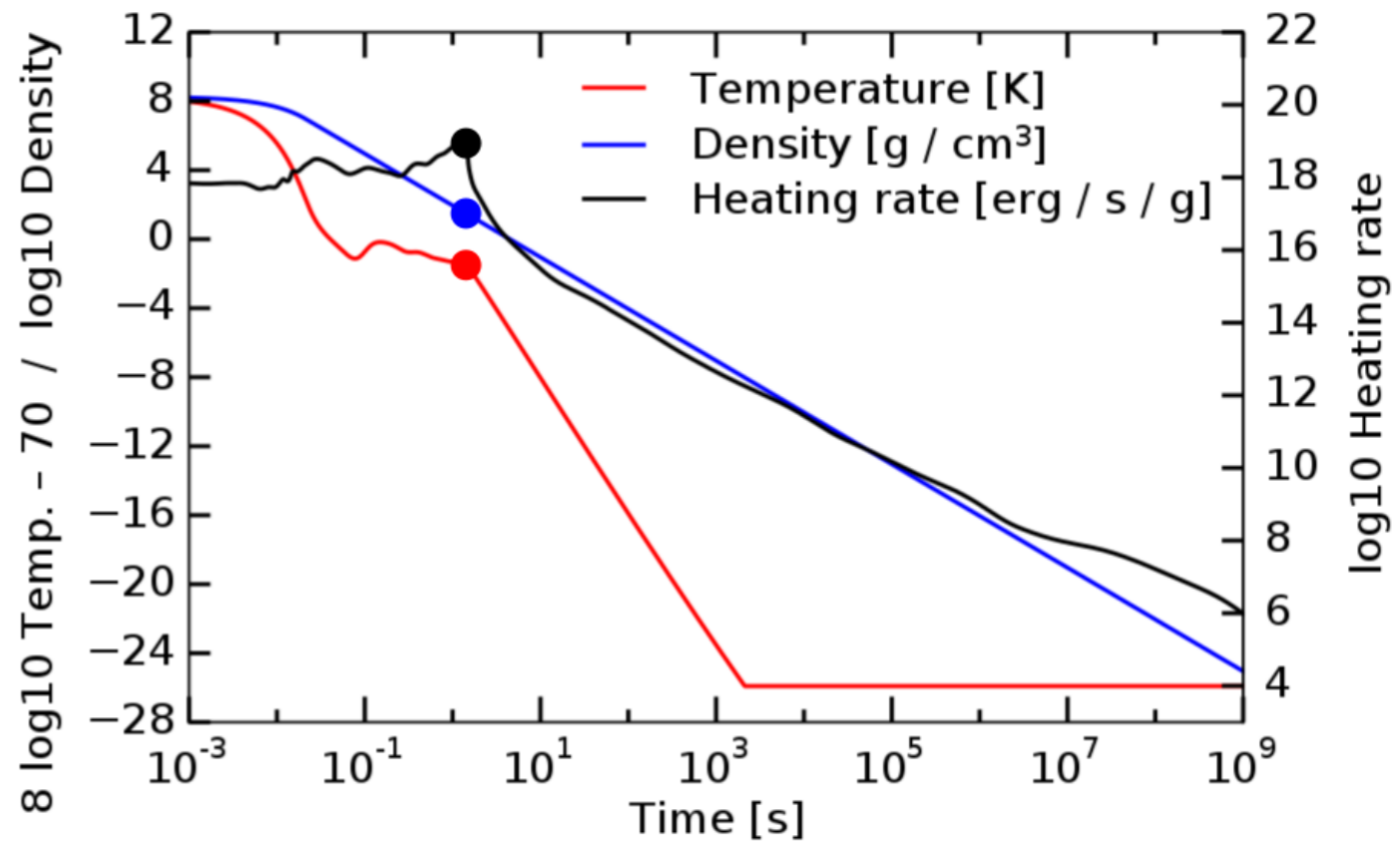
# Self-heating

- Neutron captures and beta decays release rest-mass energy

$$d\epsilon = dq_{\text{ext}} - PdV = Tds - PdV + \sum_i \mu_i dY_i + \mu_e dY_e$$

$$\Rightarrow ds = dq_{\text{ext}}/T + \sum_i \frac{\mu_i}{T} dY_i + \frac{\mu_e}{T} dY_e$$

- Increases the entropy of the fluid and keeps the temperature of the expanding gas near constant
- This can substantially impact the path of the r-process

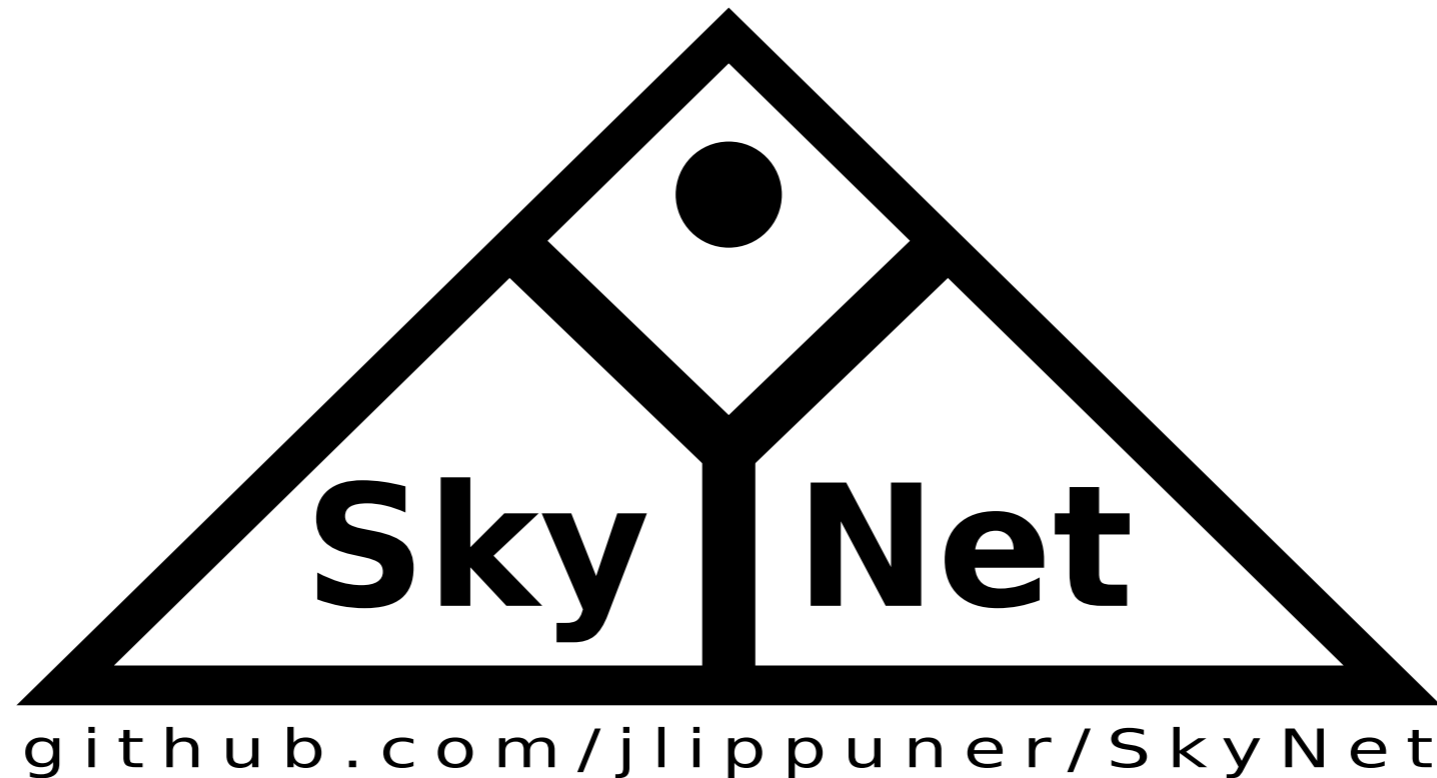


# Parameterizing r-process conditions

Because equilibrium conditions hold initially, the properties fluid elements are described reasonably well by three parameters:

<b>Electron Fraction at 5 GK:</b>	$Y_e$	Most important, sets the number of (free) neutrons
<b>Entropy per baryon:</b>	$S$	important, helps set the number of free neutrons and determines density during r-process
<b>Dynamical timescale:</b>	$\tau_d = \left( \frac{n_b}{\dot{n}_b} \right)_{T \approx 1 \text{ GK}}$	Impacts when reactions fall out of NSE, also impacts non-equilibrium seed formation for high entropy ejecta

# Run r-process Calculations Yourself



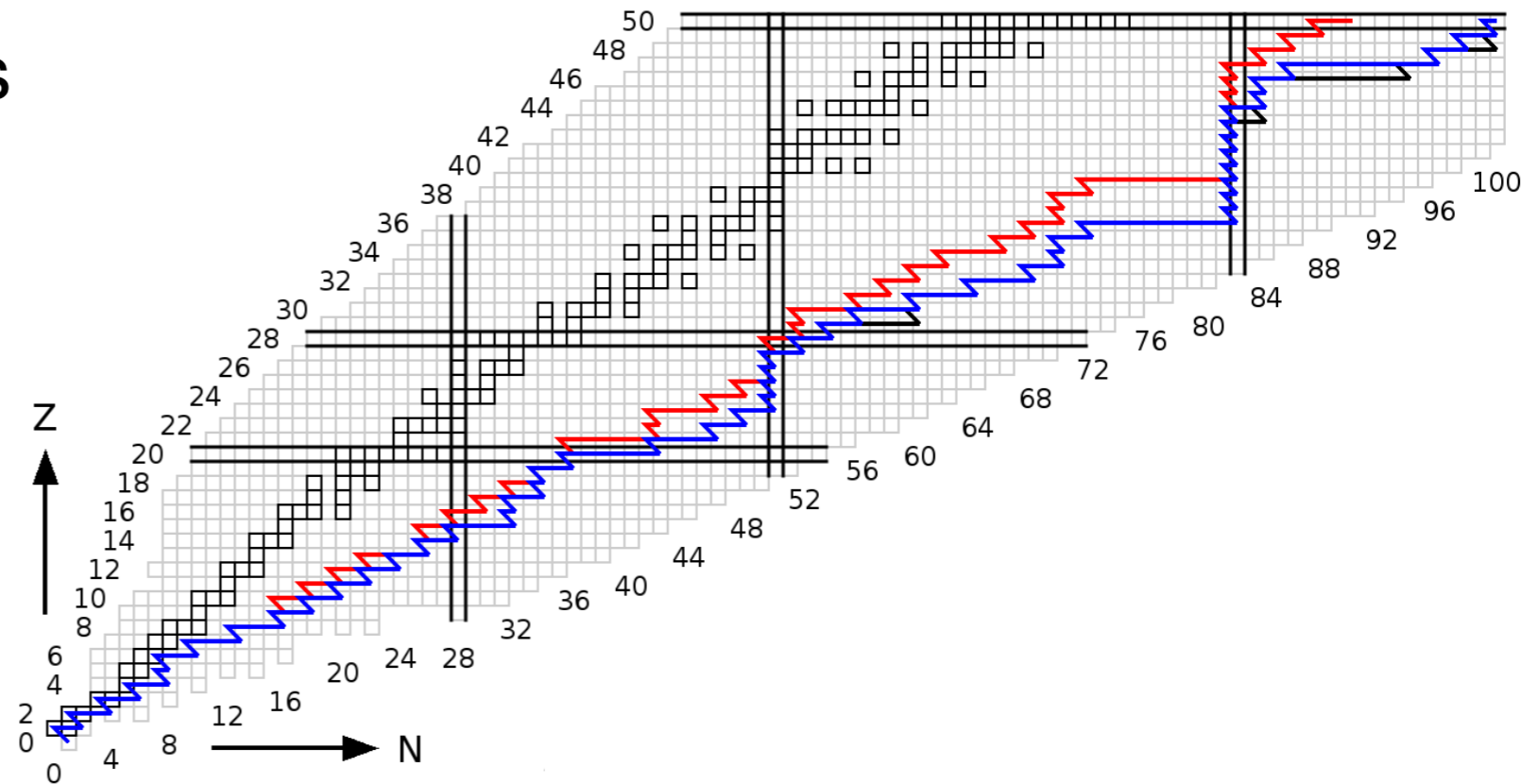
- ▶ General-purpose nuclear reaction network
- ▶  $\sim 8000$  isotopes,  $\sim 140,000$  nuclear reactions
- ▶ Evolves temperature and entropy based on nuclear reactions
- ▶ Input:  $\rho(t)$ , initial composition, initial entropy or temperature
- ▶ Open source

# The r-Process and Astrophysical Sources of the r-Process

Luke Roberts, NSCL

# r-process path depends on conditions

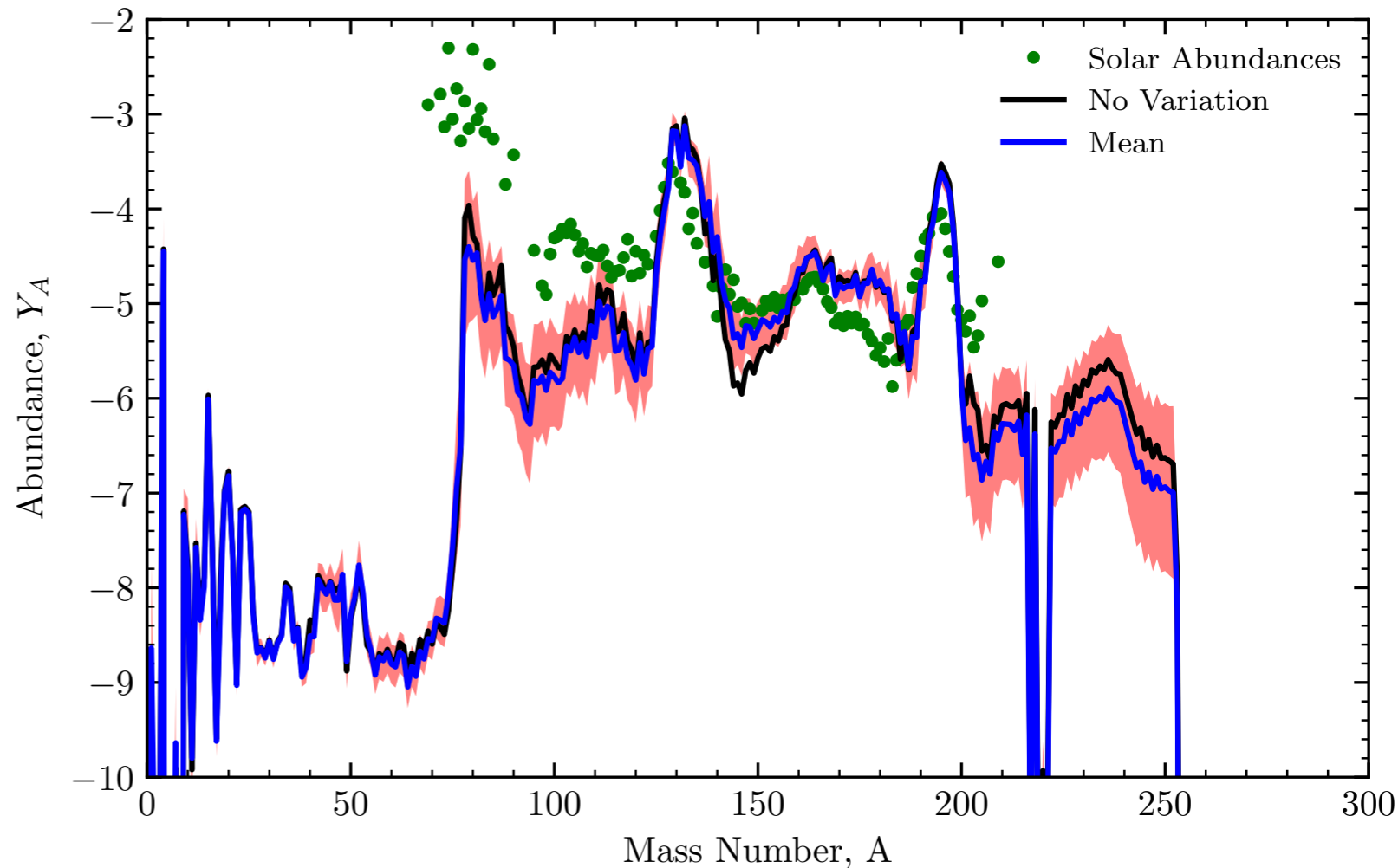
- Higher temperature implies path lies closer to stability
- Path particularly sensitive to mass differences
- Neutron separation energies small just beyond neutron closed shells, forces the path to stay along closed shells until closer to stability



**r-process paths predicted by the waiting point approximation for two different outflows**

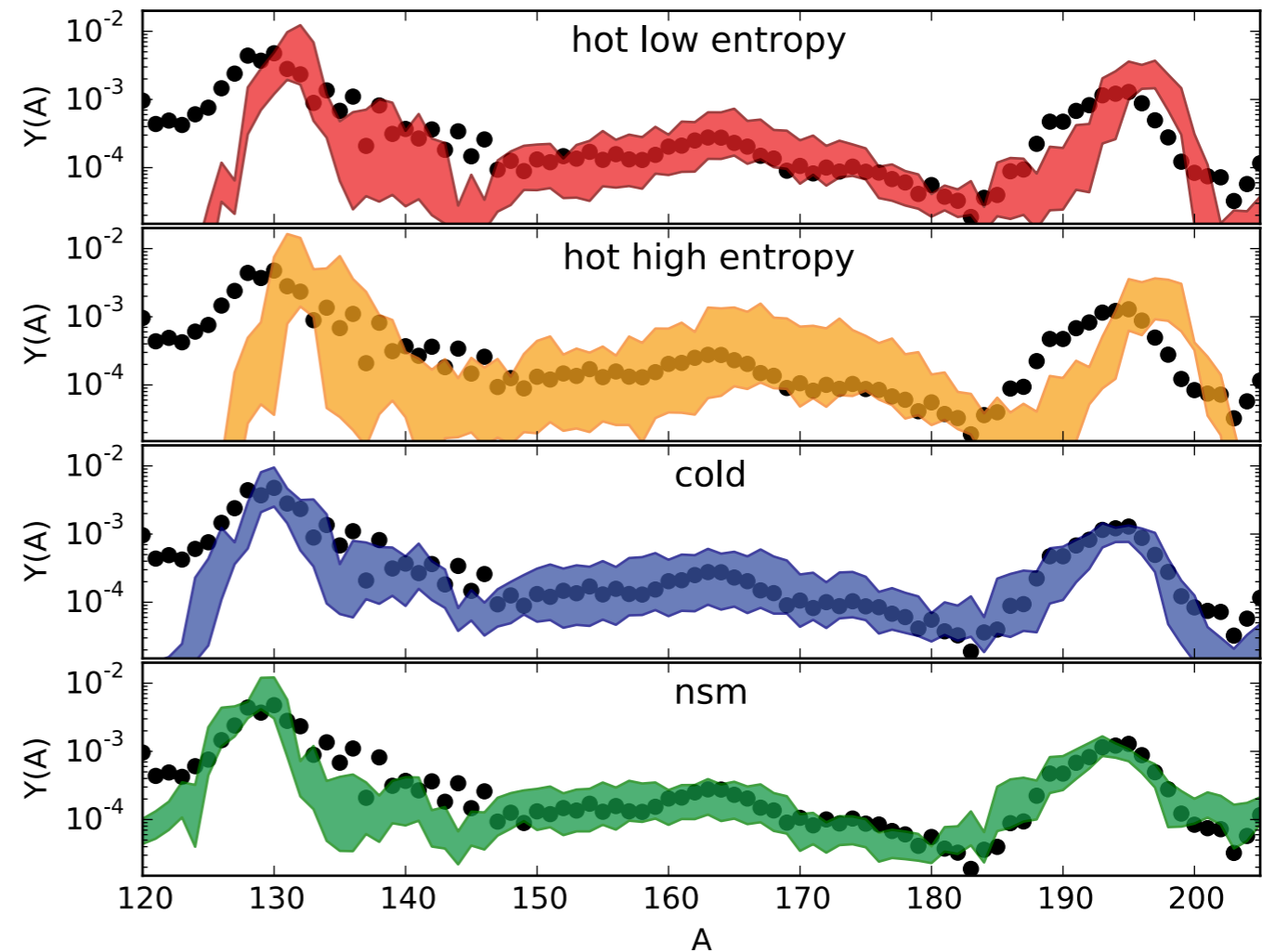
# r-process path depends on conditions

- Higher temperature implies path lies closer to stability
- Path particularly sensitive to mass differences
- Neutron separation energies small just beyond neutron closed shells, forces the path to stay along closed shells until closer to stability



# Sensitivity to input nuclear physics

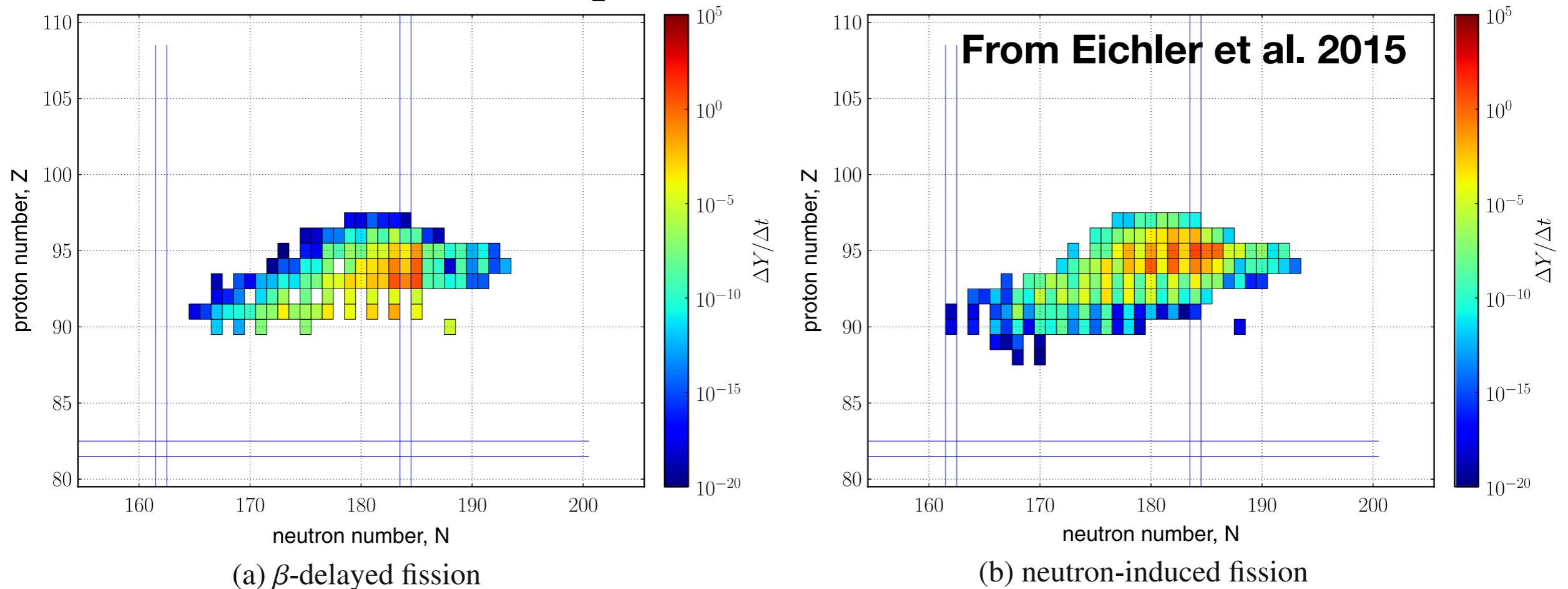
- Propagating mass uncertainties through all inputs to the reaction network
  - neutron captures
  - photo-dissociation
  - beta-decays



**Mumpower et al. (2015)**



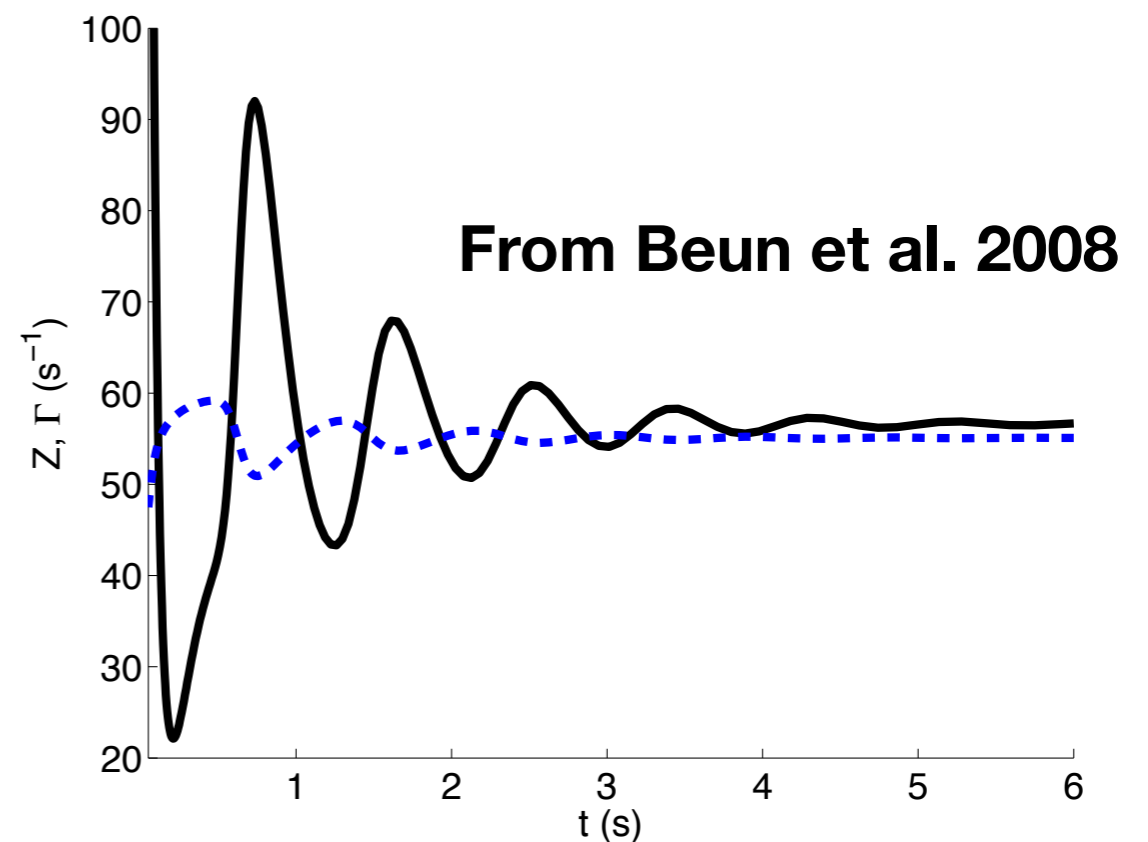
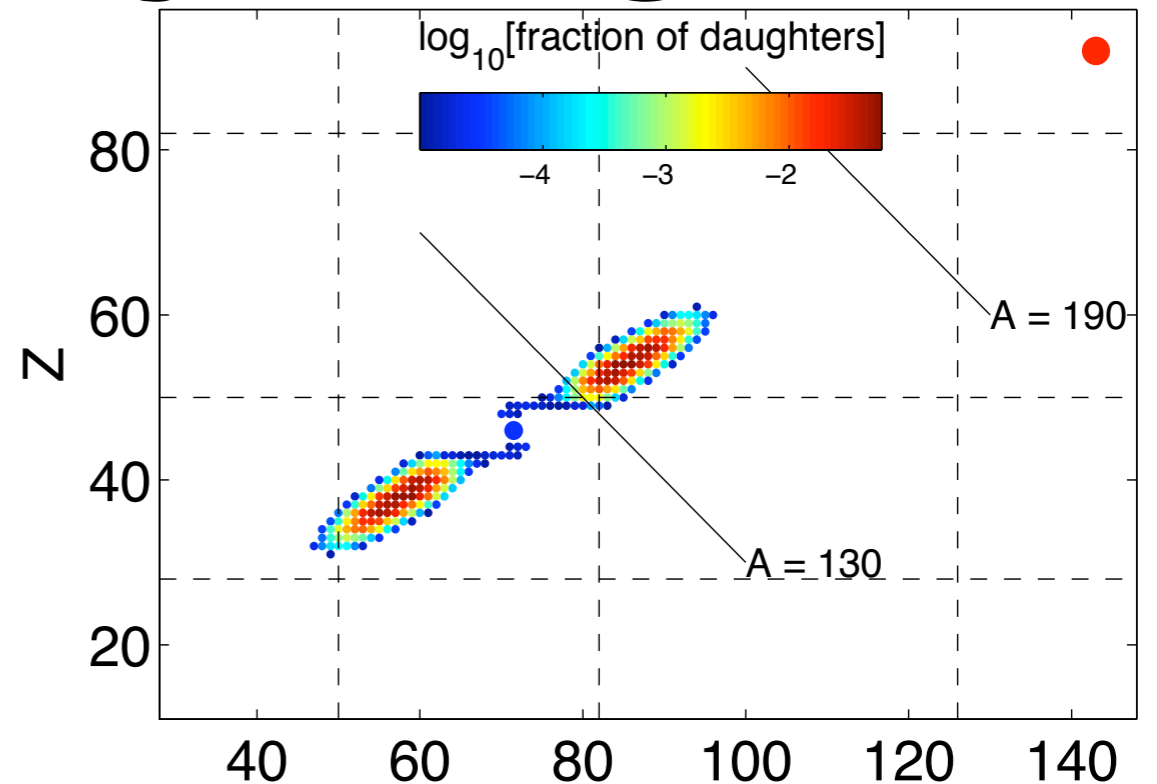
# Fission: The end of the r-process



- Once material reaches nuclei susceptible to either neutron induced or beta-delayed fission, the r-process reaches its maximum extent
- Material is pushed back down to lower mass

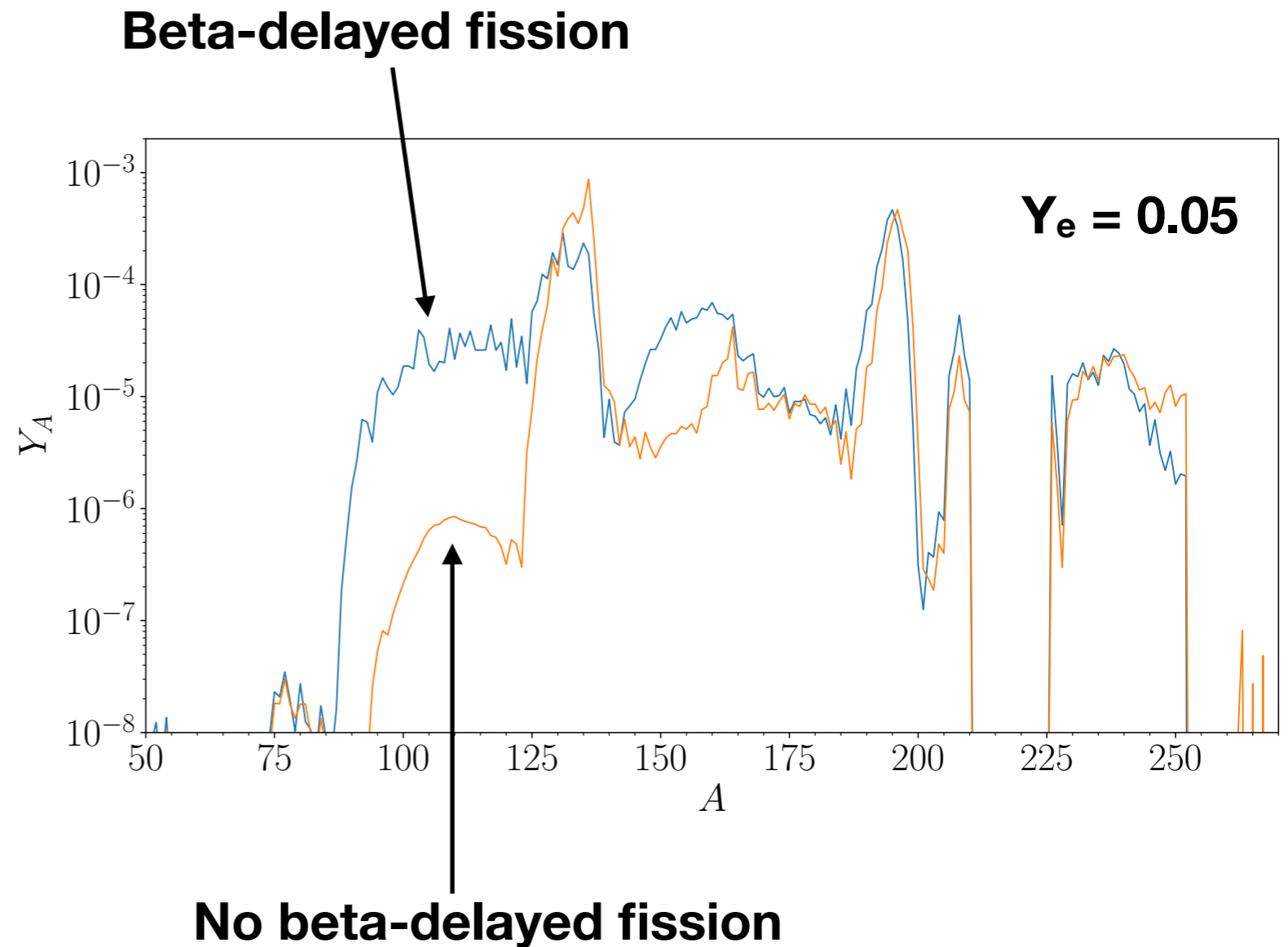
# Fission Cycling

- Fission takes single seed, turns it into two back near the first peak
- If the initial neutron-to-seed ratio is high, material can cycle through this process a number of times
- Distribution of daughter nuclei important to final pattern



# Late-time Fission

- Fission after neutron exhaustion, either by beta-delayed fission or spontaneous fission can substantially impact the abundance pattern at low mass
- Fission fragment distributions are not erased by subsequent neutron capture

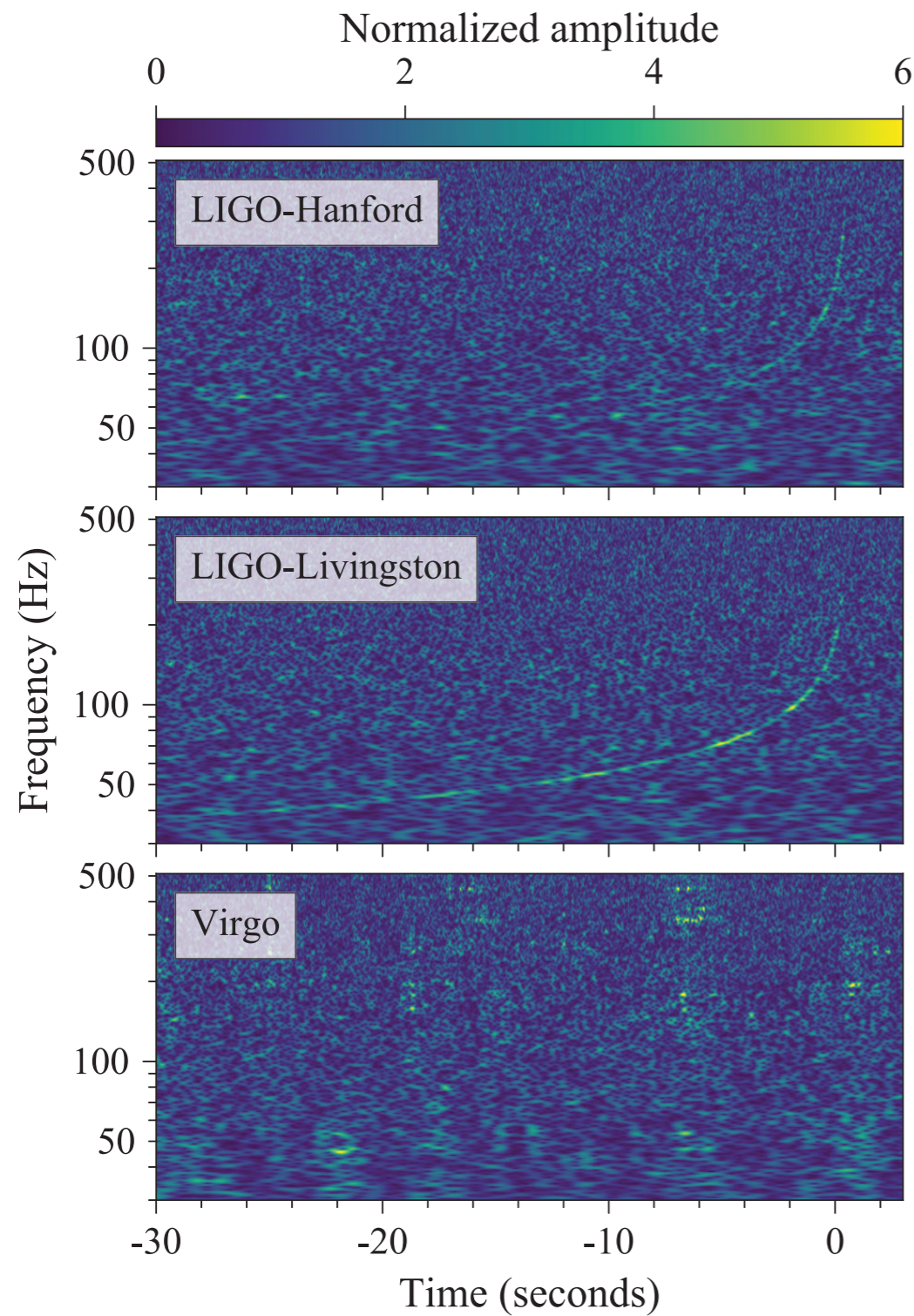


**What is the astrophysical  
source of the r-process?**

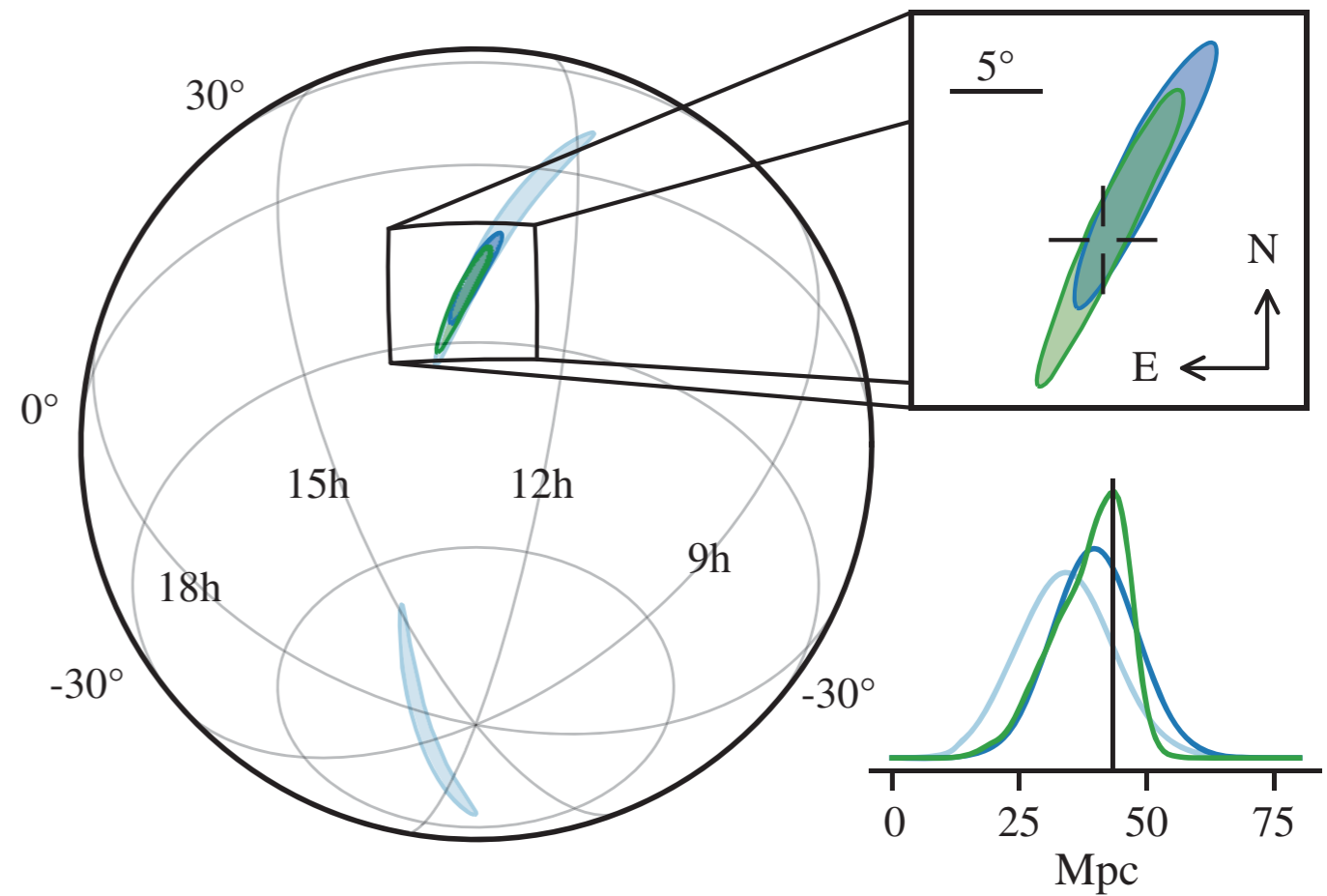


**From D. Radice**

# GW170817

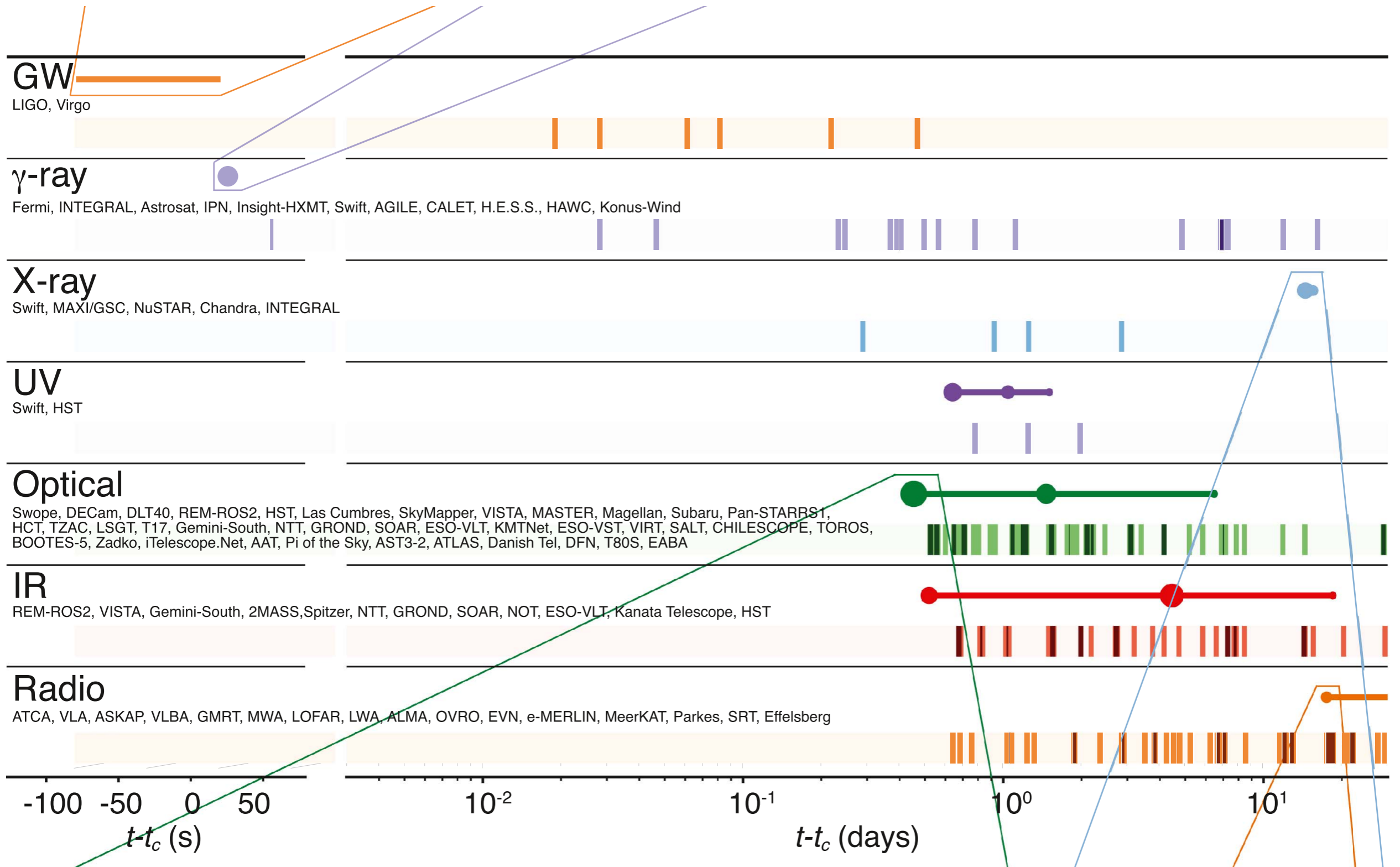


**GW Detections**



**Sky Localization**

# GW170817 + EM



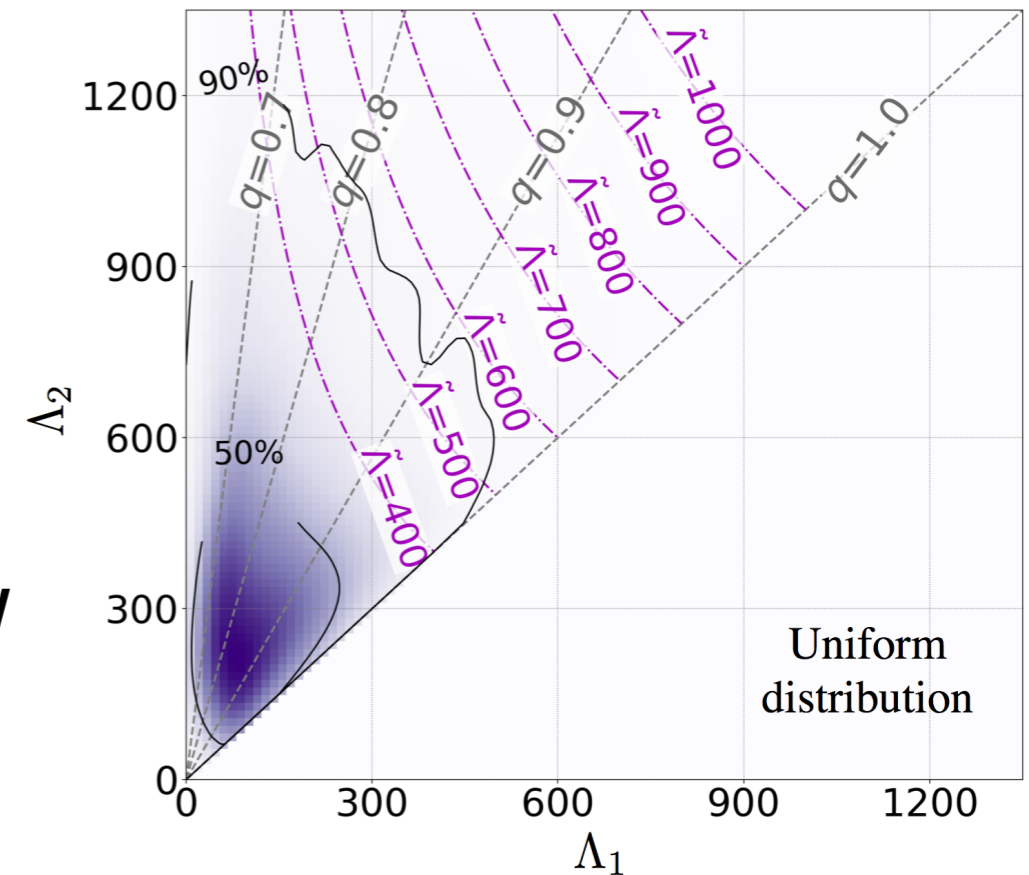
# EoS Constraints from In-Spiral

Induced quadrupole moment  $\rightarrow Q_{ij} = -\Lambda \mathcal{E}_{ij}$   $\leftarrow$  Quadrupolar tidal field

$\nwarrow$  Tidal deformability of a NS

- Tidal fields excite NS deformations and remove orbital energy in addition to GW losses
- Introduces a *measurable* correction to the phase evolution of the GWs, but at 5PN order

$$\delta\Psi = -\frac{9}{16} \frac{v^5}{\mu M^4} \left[ \left( 11 \frac{m_2}{m_1} + \frac{M}{m_1} \right) \lambda_1 + 1 \leftrightarrow 2 \right]$$



$$\Lambda_{1,2} = \frac{2}{3} k_2 \left( \frac{R_{1,2} c^2}{G m_{1,2}} \right)^5$$

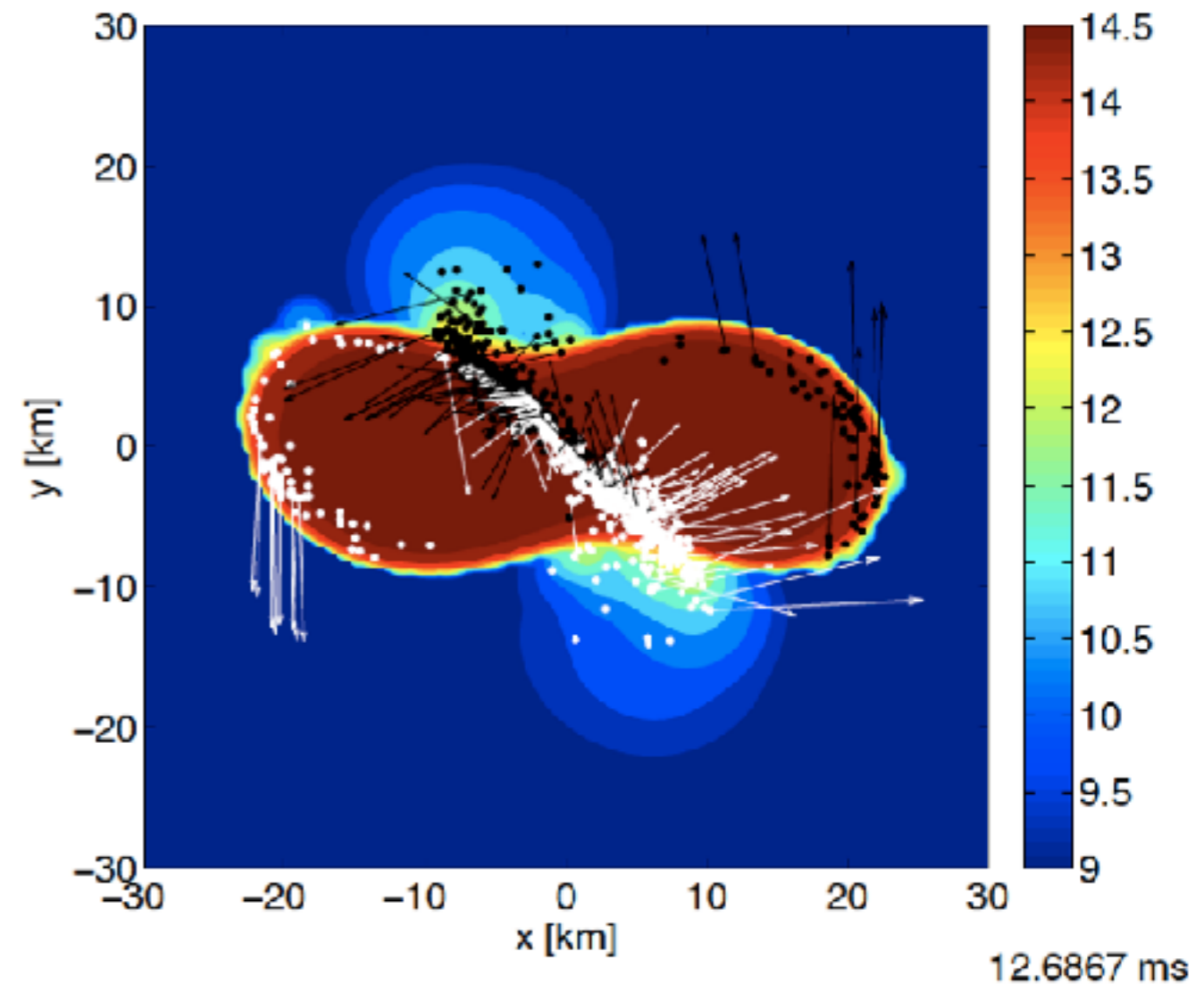


# Mass Ejection from NS Mergers

## 1. Dynamical Ejecta

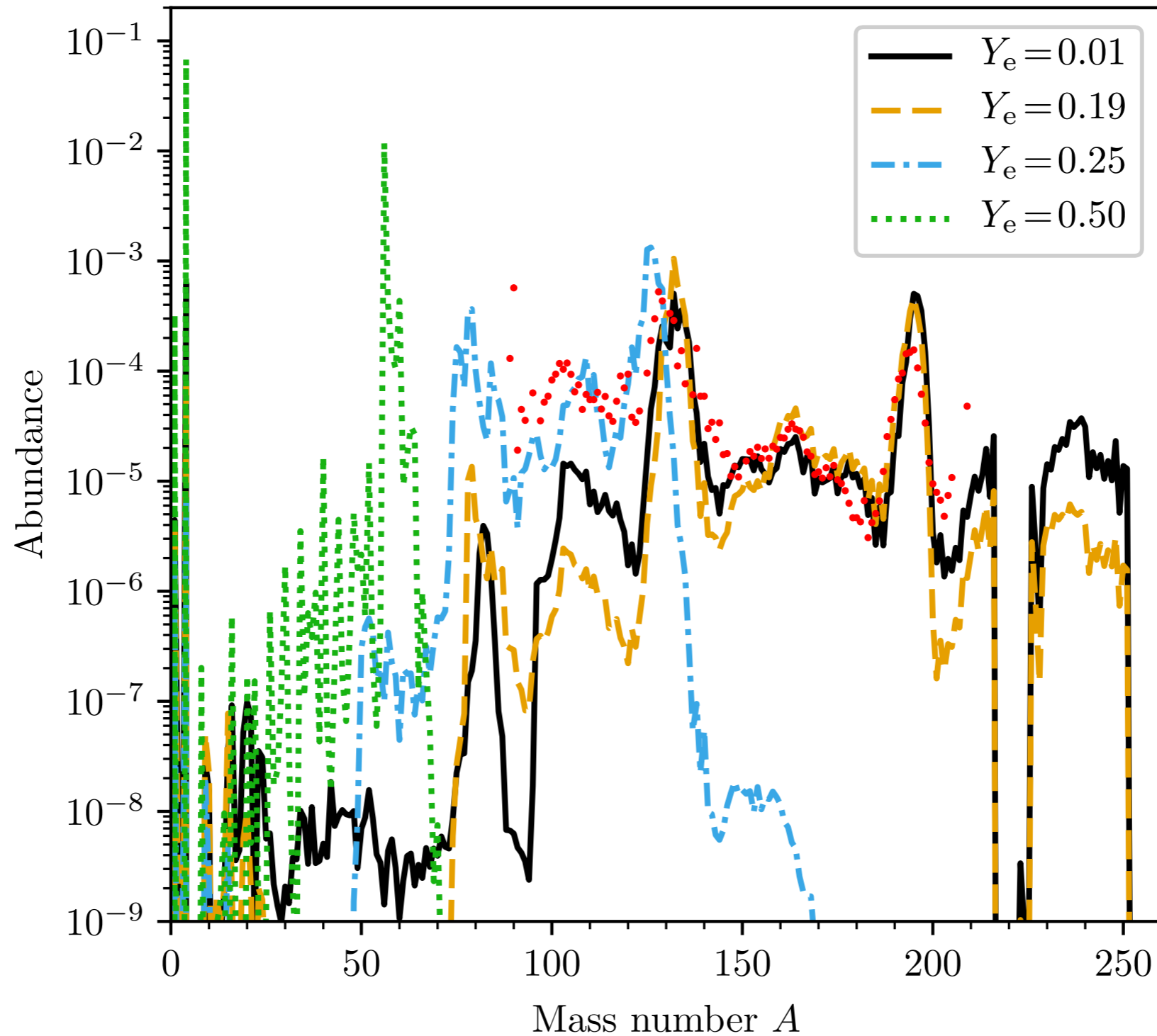
- Tidal ejecta
- Shock heated ejecta

## 2. Disk ejecta



Bauswein et al. '13

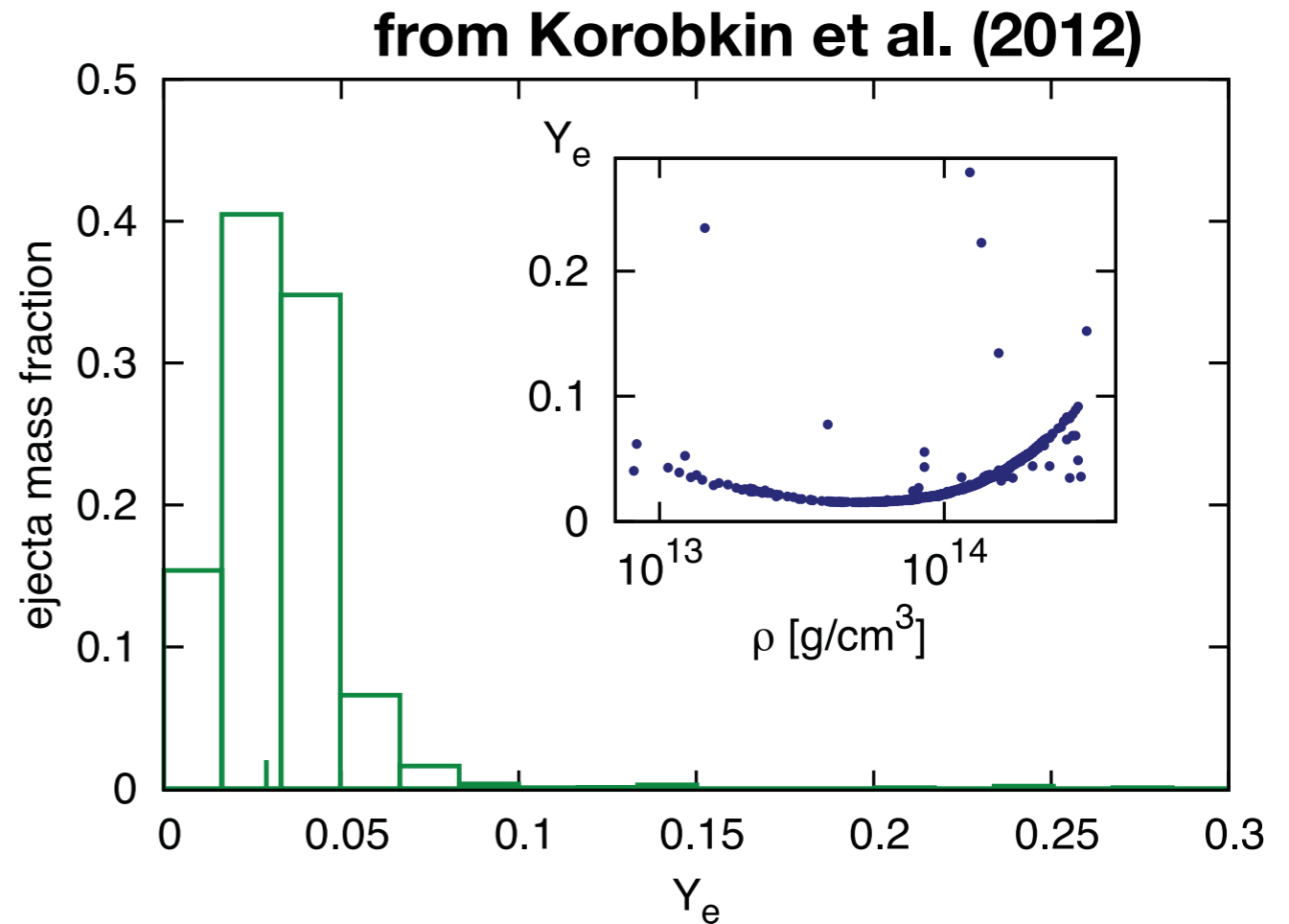
# Nucleosynthesis Depends on $Y_e$



**How does  $Y_e$  get set?**

# Tidal Ejecta

- Material squeezed through the outer Lagrange points during merger
- Material is not shocked and likely undergoes few weak reactions
- Electron fraction distribution essentially that of the progenitor NS

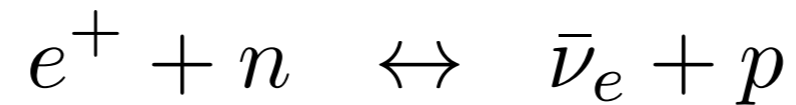
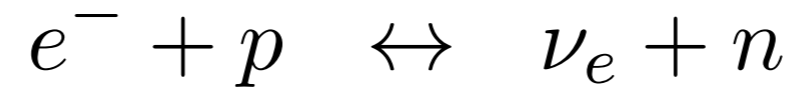


**Electron fraction set by beta equilibrium of cold NS:**

$$\mu_{e^-} + \mu_p = \mu_n$$

**Predicts  $Y_e < 0.1$  for most of the material**

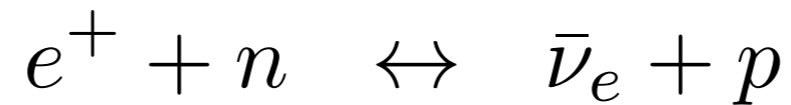
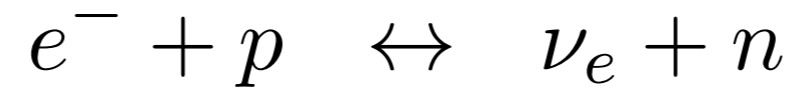
# Changing $Y_e$ for the r-process



Evolution of the electron fraction is governed by

$$\frac{dY_e}{dt} = (\lambda_{\nu_e} + \lambda_{e^+})Y_n - (\lambda_{\bar{\nu}_e} + \lambda_{e^-})Y_p + \dots$$

# Changing $Y_e$ for the r-process



Evolution of the electron fraction is governed by

$$\frac{dY_e}{dt} = (\lambda_{\nu_e} + \lambda_{e^+})Y_n - (\lambda_{\bar{\nu}_e} + \lambda_{e^-})Y_p + \dots$$

$$Y_e(t) \approx Y_{e,0} \exp(-t/\tau_w) + [1 - \exp(-t/\tau_w)]Y_{e,eq}$$

where

$$\tau_w = [\lambda_{e^-} + \lambda_{e^+} + \lambda_{\nu_e} + \lambda_{\bar{\nu}_e}]^{-1}$$

$$Y_{e,eq} = \frac{\lambda_{\nu_e} + \lambda_{e^+}}{\lambda_{\nu_e} + \lambda_{e^+} + \lambda_{\bar{\nu}_e} + \lambda_{e^-}}$$

# Changing $Y_e$ with electrons

- Under degenerate conditions, electron capture dominates and expect small  $Y_e$
- Increase temperature, lift degeneracy, produce pairs (as long as  $T > m_e$ ), positron and electron capture rates are similar and  $Y_{e,eq} \sim 0.5$

**For relativistic electrons:**

$$\mu_e \gg T$$

$$\lambda_{e^-} \approx 8 \times 10^{-3} \text{ s}^{-1} \left( \frac{\mu_e}{m_e} \right)^5$$

$$\lambda_{e^+} \approx 2 \times 10^{-2} \text{ s}^{-1} \left( \frac{T}{m_e} \right)^5 \exp(-\mu_e/T)$$

**or**

$$\mu_e \ll T$$

$$\lambda_{e^\pm} \approx 2 \times 10^{-2} \text{ s}^{-1} \left( \frac{T}{m_e} \right)^5$$

# Setting $Y_e$ by neutrinos

Evolution of the electron fraction is governed by

$$\frac{dY_e}{dt} = (\lambda_{\nu_e} + \lambda_{e^+})Y_n - (\lambda_{\bar{\nu}_e} + \lambda_{e^-})Y_p + \dots$$

$$Y_e(t) \approx Y_{e,0} \exp(-t/\tau_w) + [1 - \exp(-t/\tau_w)]Y_{e,eq}$$

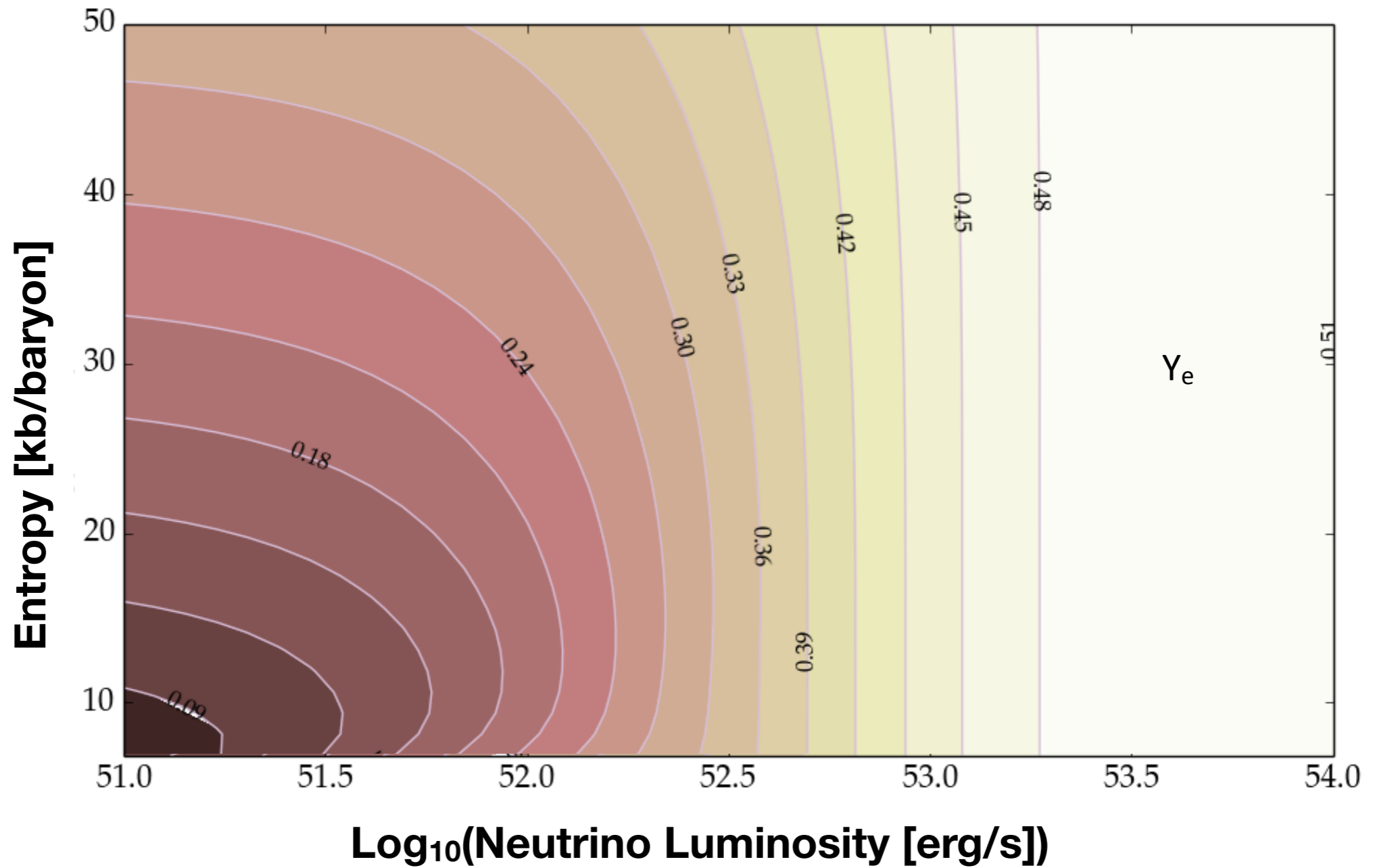
When we have:

$$\lambda_{\nu} \gg \lambda_{e^+, e^-}$$

$$\Rightarrow Y_{e,eq} \approx \frac{\lambda_{\nu_e}}{\lambda_{\nu_e} + \lambda_{\bar{\nu}_e}}$$



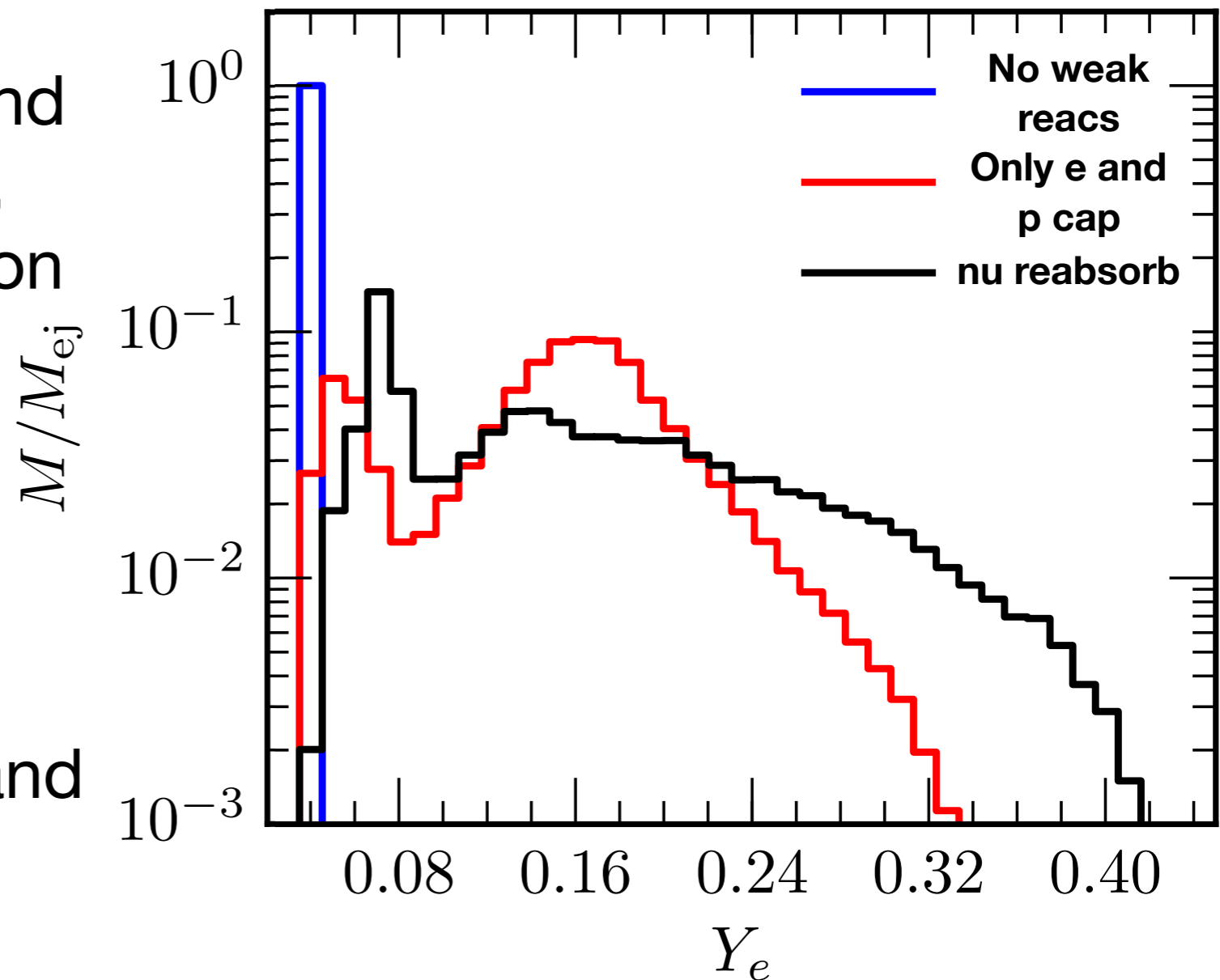
# Weak Interactions in NS Mergers



Assuming similar electron neutrino and anti-neutrino properties

# Weak Interactions in the Dynamical Ejecta

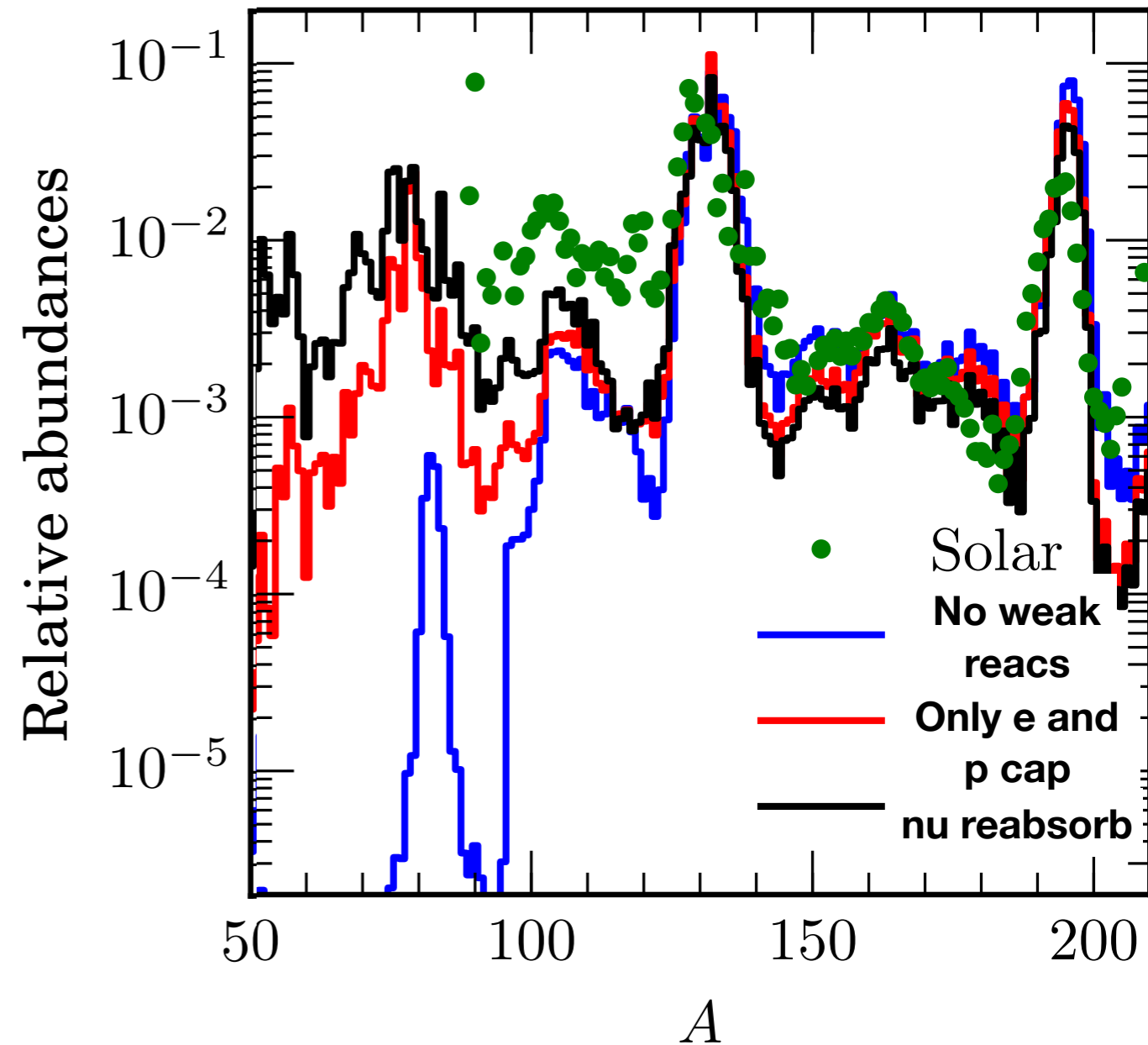
- Shock heating lifts electron degeneracy and allows for pair capture, increasing  $Y_e$  by positron capture
- Additionally, neutrino capture alters  $Y_e$
- Neutrino luminosities and average energies fairly similar



from Radice et al.

# Weak Interactions in the Dynamical Ejecta

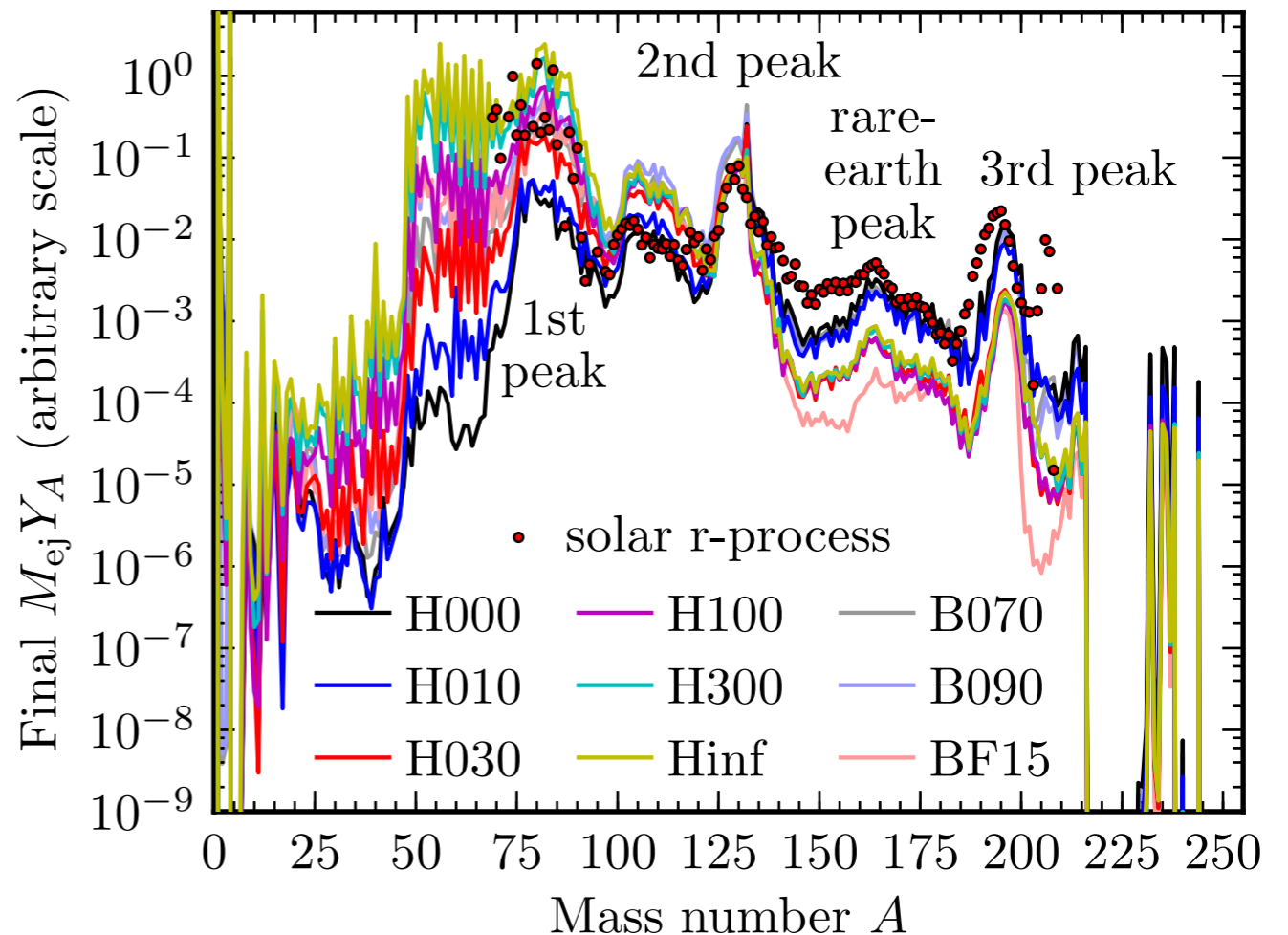
- Nevertheless, still produce quite a bit of material with  $Y_e < 0.25$  so second and third peak still produced
- Weak interactions have a significant impact on the amount of first peak production



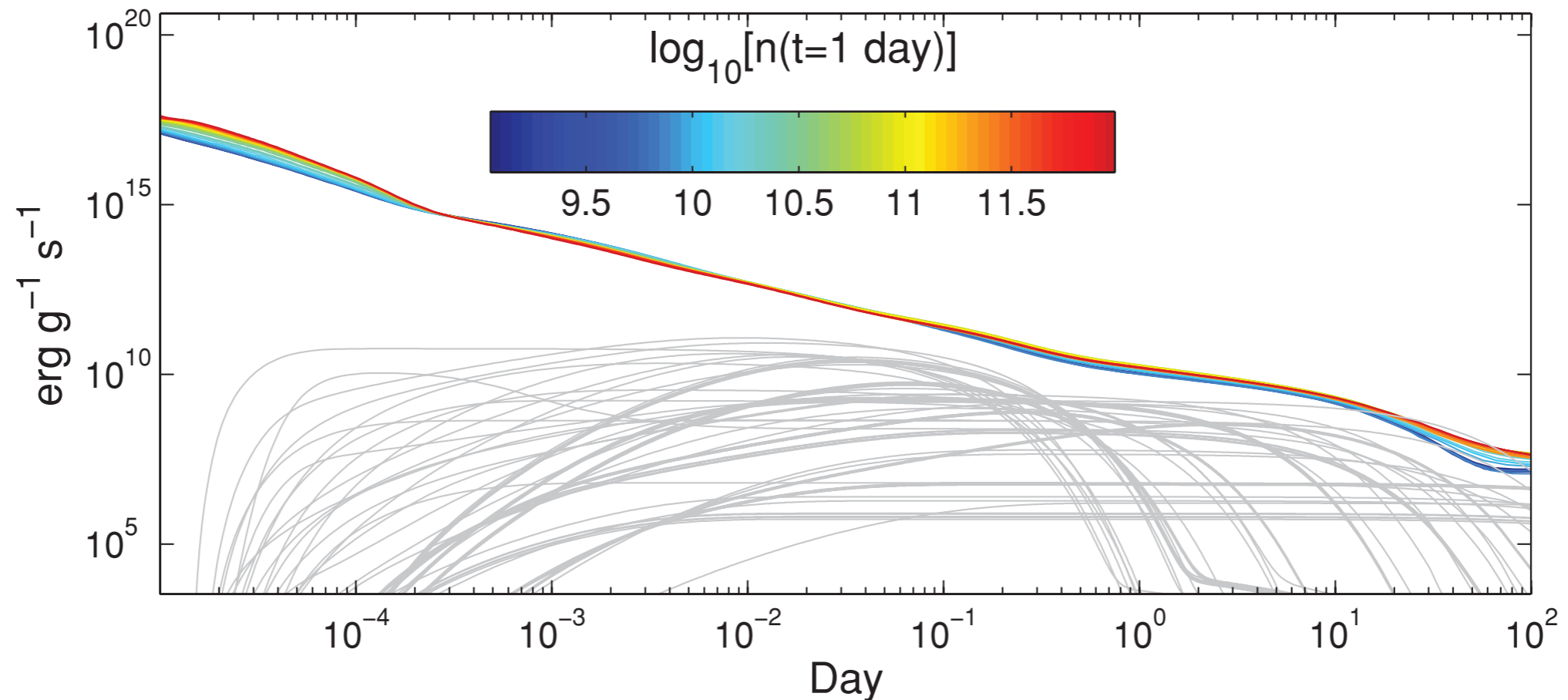
from Radice et al. (2016)

# Disk Ejecta

- Material in the remnant disk also experiences a large number of weak interactions
- Broad range of  $Y_e$
- Produce 1st, 2nd, and 3rd peak



# Decay to Stability



- Beta decays, alpha decays, and fission back towards stability
- Decays move to longer and longer timescales as one gets closer to stability since beta-decay Q values decrease closer to stability
- These decays release energy into the fluid, relevant to kilonovae (see Brian's lecture)

Kilonovae (see Li & Paczyński 1998)

## Radioactivity powered transients

$$\tau = \kappa \rho R = \kappa \frac{3 M_{ej}}{4\pi v_{ej}^2 t^2}$$

opacity      density  
↓      ↓  
radius

- Diffusive process, so timescale for escape given by random walk

• Net displacement:  $\vec{d} = \sum_{i=1}^N \vec{l}_i$  ← distances traveled between  $N$  - scatterers

$$\Rightarrow |\vec{d}|^2 = \sum_{i=1}^N \sum_{j=1}^N \vec{l}_i \cdot \vec{l}_j = \sum_{i=1}^N \vec{l}_i \cdot \vec{l}_i$$

← for scattering in random directions

$$= N \lambda_{mfp}^2$$

- Path length traveled  $L = N \lambda_{mfp}$

$$\Rightarrow t_{diff} = \frac{L}{c} = \frac{R^2}{c \lambda_{mfp}} = \frac{R^2}{c}$$
$$= \frac{3 \kappa M_{ej}}{4\pi v_{ej} c t}$$

$$t_{diff} \approx 7.3 \text{ days} \left( \frac{\kappa}{1 \text{ cm}^2 \text{ g}^{-1}} \right) \left( \frac{M_{ej}}{0.01 M_{\odot}} \right) \left( \frac{v_{ej}}{0.1 c} \right)^{-1} \left( \frac{t}{1 \text{ day}} \right)^{-1}$$

• Peak timescale given by  $t_{diff} = t$

$$\Rightarrow t_{peak} = \left( \frac{3\pi M_{ej}}{4\pi v_{ej} c} \right)^{1/2}$$

$$= 2.7 \text{ day} \left( \frac{\pi}{1 \text{ cm}^2 \text{ g}^{-1}} \right)^{1/2} \left( \frac{M_{ej}}{0.01 M_{\odot}} \right)^{1/2} \left( \frac{v_{ej}}{0.1 c} \right)^{-1/2}$$

• Peak luminosity

- Adiabatic losses remove energy injected before

$$t_{peak} : \frac{dE}{dt} = -L - P \frac{dV}{dt} + M_{ej} \dot{g}_{unc}(t)$$

- This implies approximately  $L_{peak} = M_{ej} \dot{g}_{unc}(t_{peak})$

$$\dot{g}(t_{peak}) \sim 10^{10} \text{ erg/g/s} \Rightarrow L_{peak} \sim 10^{41} \text{ erg/s} \left( \frac{M_{ej}}{0.01 M_{\odot}} \right)$$

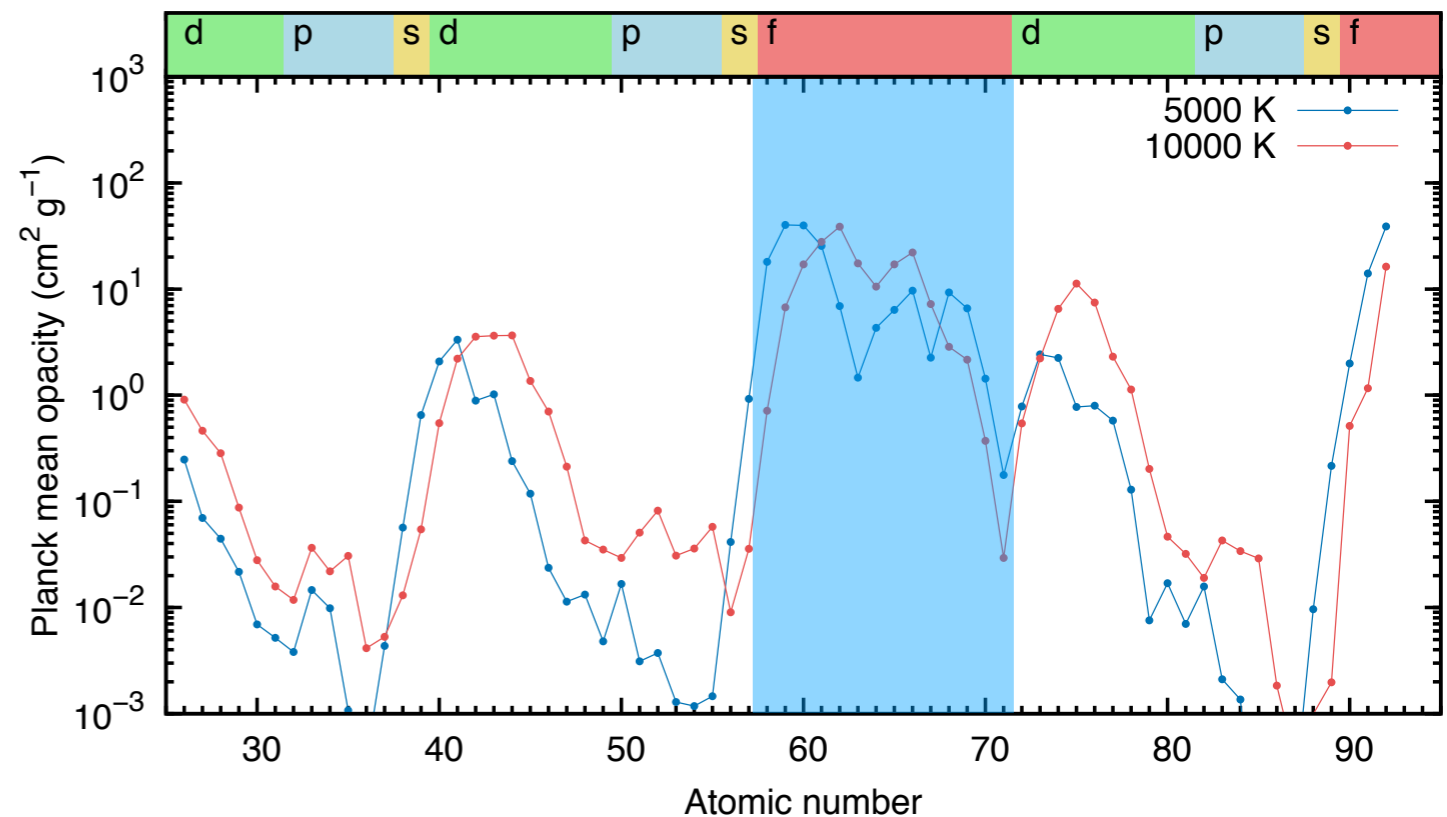
• Peak temperature

$$L = 4\pi R^2 \sigma T^4 \Rightarrow T = \left( \frac{M_{ej} \dot{g}_{unc}(t_{peak})}{4\pi v_{ej}^2 t_{peak}^2} \right)^{1/4}$$

$$T \approx 10^4 \text{ K} \left( \frac{\pi}{1 \text{ cm}^2 \text{ g}^{-1}} \right)^{-1/4} \left( \frac{v_{ej}}{0.1 c} \right)^{-1/4} \left( \frac{\dot{g}_{unc}(t_{peak})}{10^{10} \text{ erg/g/s}} \right)^{1/4}$$

# Opacity Dependence on the Composition

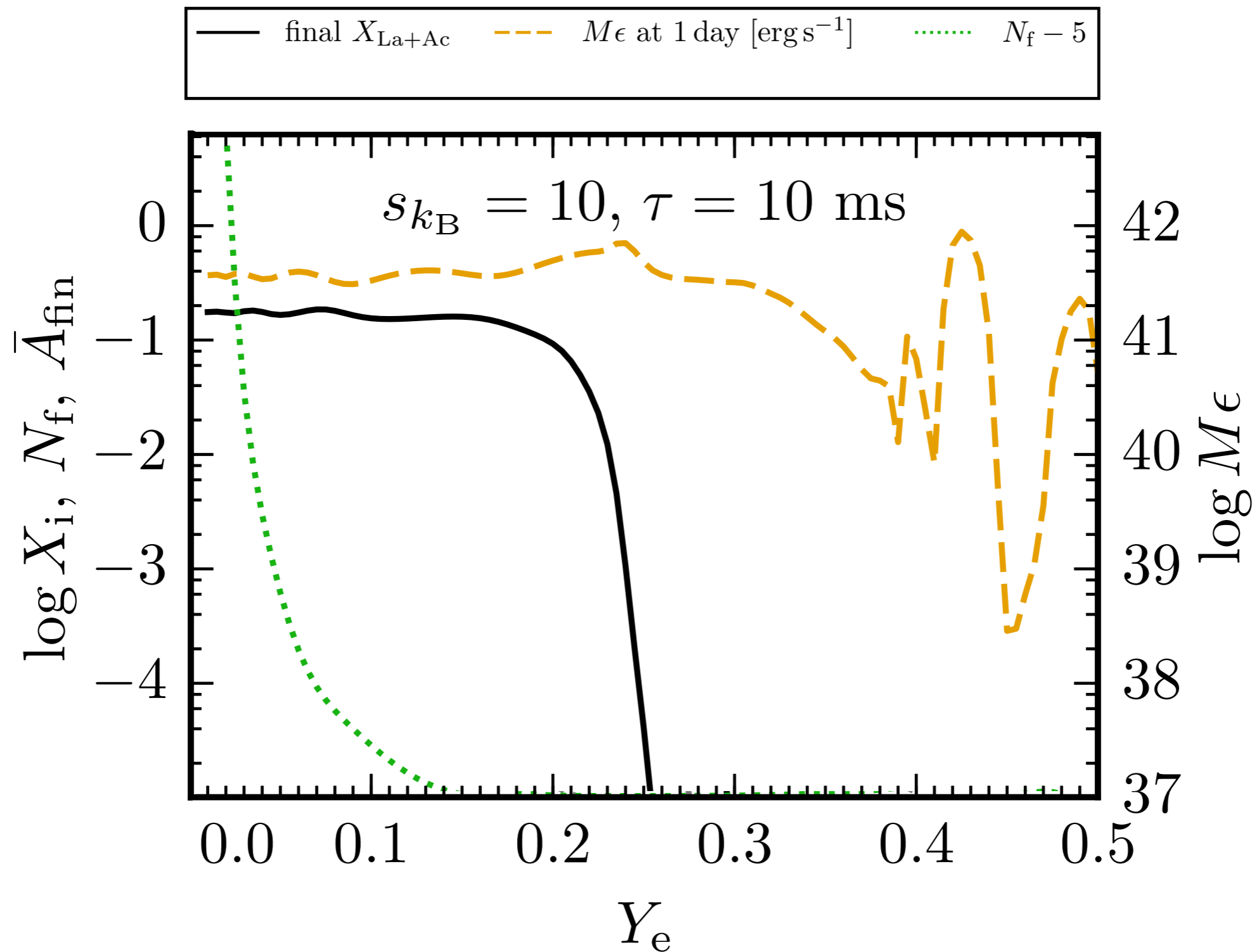
- Lanthanides and Actinides provide a larger opacity than iron peak elements
- Makes kilonova redder and longer
- Gives indication that heavy r-process elements might have been produced



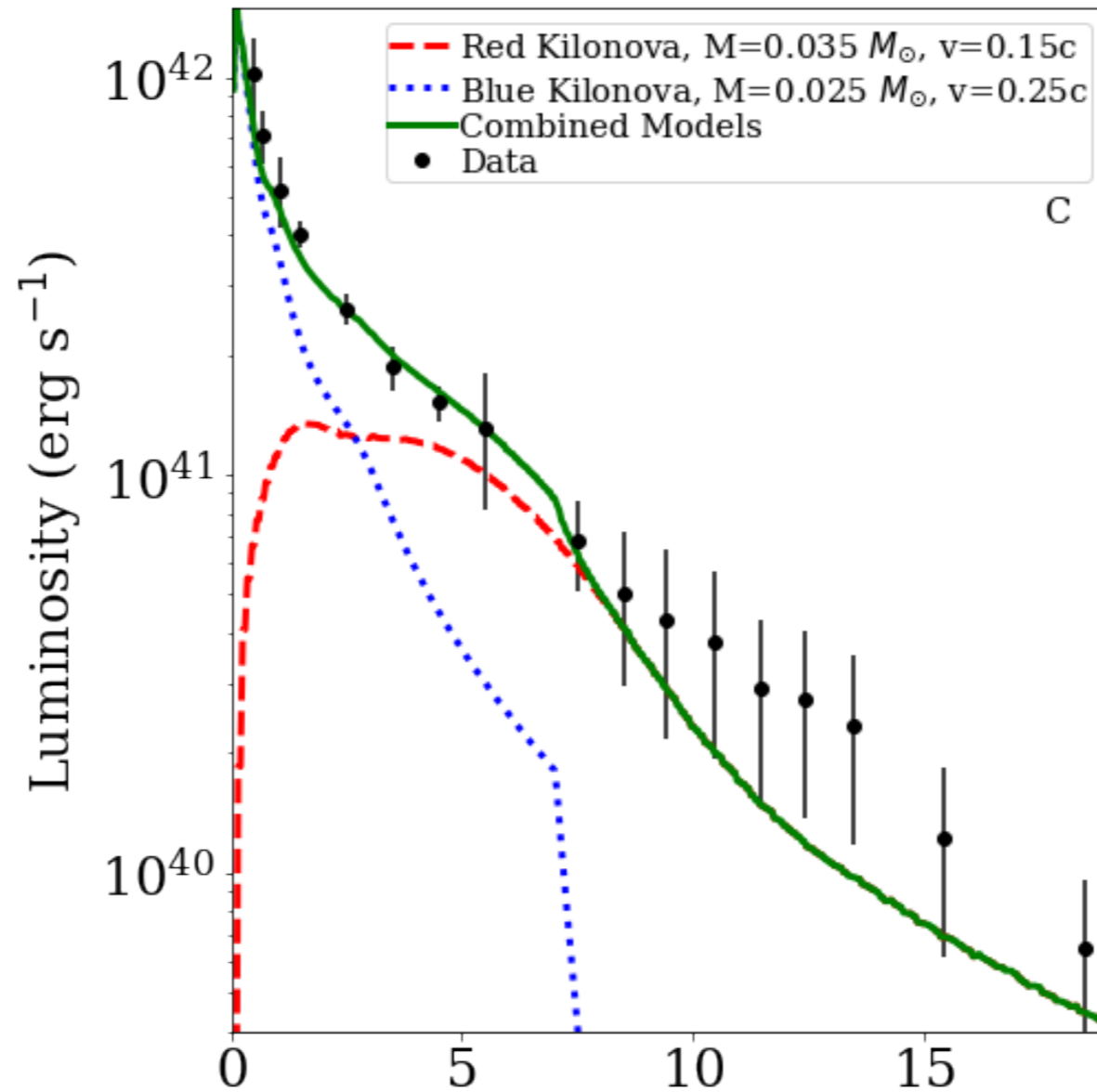
from Tanaka et al. (2019)



# Dependence of Nucleosynthesis on Initial Conditions



# Kilonova Models and Observations



transient AT 2017gfo